# Expander2

- a formal methods presenter and animator -

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Expander2 is a flexible multi-purpose workbench for interactive rewriting, theorem proving, constraint solving, flow graph analysis and related procedures that build up proofs or other computation sequences. The associated GUI provides 2D representations of terms and formulas ranging from trees and rooted graphs to a variety of pictorial representations, including tables, matrices, alignments, partitions, fractals and turtle systems (see Widgets, graphs, and turtle actions).

The user may interact with the system at three levels of decreasing control over proofs and computations. At the first level, rules like induction and coinduction are applied locally and step by step. At the second level, goals are rewritten or narrowed, i.e. axioms are applied exhaustively and iteratively. At the third level, built-in rules (some of them execute Haskell programs) simplify, i.e. (partially) evaluate terms and formulas, and thus hide routine steps of a proof or computation (see Overview). Proofs are automatically translated into proof terms that can be evaluated and modified later. This allows one to design functional-logic programs as proof carrying code that a client can validate by running the proof term evaluator (proof checker).

Expander2 has been written in O'Haskell, an extension of Haskell with object-oriented features for reactive programming and a typed interface to Tcl/Tk. Besides a comfortable GUI the design goals of Expander2 were to integrate testing, proving and visualizing deductive methods, admit several degrees of interaction and keep the system open for extensions or adaptations of individual components to changing demands.

Proofs and computations performed with Expander2 follow the rules and the semantics of swinging types. Swinging types combine constructor-based visible types with state-based hidden ones and have unique initial models that interpret relations as the least or greatest solutions of their axioms.

Please email comments, bugs, etc. to Peter Padawitz. Any suggestions for further applications, improvements, extensions or project proposals are welcome!

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Contents

# 1 Main Commands

A command followed by a letter in round brackets is executed when the corresponding key is pushed after the keyboard has been activated by placing the cursor over the entry resp. label field and pressing the left mouse button. The keys for add spec, load text and save tree work if the entry field has been activated. The keys for parse up and parse down work if the text field has been activated. The keys for other commands work if the label field has been activated.

add map from	add spec from file		
apply axioms for symbols	apply clause from entry field		
apply axioms for symbols	left to right a - right to left b		
apply axioms in text field	apply clause from text field		
	left to right - right to left		
apply coinduction	apply fixpoint induction		
apply map	apply strong coinduction		
apply strong fixpoint induction	apply substitution		
apply to variable:	apply transitivity		
build/check	build equations		
build graph g	build relation r		
build unifier	call enumerator		
check proof term c	clear subtrees		
collapse	collapse levelwise		
combine trees w	coordinates		
copy	create Hoare invariant		
create induction hypotheses	create subgoal invariant		
decompose atom	decrease current		
enclose/replace by entry	expand		
flatten (co-)Horn clause	generalize		
hide/show h	Horn axioms for copredicates		
increase current	instantiate		
invert axioms for symbols	label graph		
label roots	load text from file 🗻		
mark	narrow/rewrite n		
negate axioms for symbols	numbers		
paint	parse up 🚹		
parse down 🕠	polarities		
positions	redraw z		
remove displayed tree	remove entry&label		
remove from entry field	remove other trees		
remove spec	remove subtrees		
remove text	rename		
replace by other sides	replace by tree of Solver1/2		
reverse	save spec to file		
save proof to file p	save proof term to file t		

save tree to file	save tree in eps format to file
shift factors	shift summands
set	show axioms for symbols
show changed	show map
show sig	simplify s
split tree m	stretch conclusion
stretch premise	subsume
turn local def into function application	unify
$\leftarrow$ unify/match	unify with tree of Solver1/2
unlabel graph u	$\leftarrow$ / $\rightarrow$ $\leftarrow$ / $\rightarrow$
+1/-1	

Save commands store into files of the *Examples* subdirectory of your home directory. *If this subdirectory does not exist, nothing will be saved!* File parameters of **add** or **load commands** are first looked for in this subdirectory. Those that do not exist there are searched for in the synonymous system directory.

#### 2 Overview

The main components of Expander2 are the **solver**, the **painter**, the **simplifier**, the **enumerator** and the **recorder** of proofs and computation sequences.

The **solver** is accessed via a window for entering, editing and displaying trees or graphs that represents a disjunction or conjunction of logical formulas or a sum of functional terms. A proper (non-singleton) sum results from a computation obtained by nondeterministic rewriting. The solver window has a canvas for the two-dimensional representation of the list of current trees (among which one browses by moving the slider below the window) and a text field for their string representation. With the **parse up** and **parse down** buttons one switches between the tree (or graph) and the string representation. Both representations are editable. As the usual cut, copy and paste operate on substrings in the text field, so do corresponding mouse-triggered functions when the cursor is moved over subtrees on the canvas.

After a widget interpreter has been selected from the pict type menu, pushing the paint button opens a painter window and the pictorial representations of all interpretable subtrees of the solver's current trees will be shown. Pictures are lists of widgets that can be edited in the painter window and completed to widget graphs. Widgets are built up of path, polygon and turtle action constructors that admit the definition of a variety of pictorial representations ranging from tables and matrices via string alignments, piles and partitions to complex fractals generated by turtle systems [18], which define a picture in terms of a sequence of actions that a turtle would perform when drawing the picture while moving over a canvas. The turtle works recursively in two ways: it maintains a stack of positions and orientations where it may return to, and it may give birth to subturtles, i.e. call other turtle systems.

The solver and its associated painter are fully synchronized: the selection of a tree in the solver window is automatically translated to a selection of the tree's pictorial representation in the painter window and vice versa. Hence rewriting, narrowing and simplification steps can be carried out from either window.

The **enumerator** provides algorithms that enumerate trees or graphs and passes their results both to the solver and the painter. Currently, two algorithms are available: a generator of all sequence alignments [4, 12] satisfying constraints that are partly given by axioms, and a generator of all nested partitions of a list with a given length and satisfying constraints given by particular predicates. The painter displays an alignment in the way DNA sequences are usually visualized. A nested partition is displayed as the corresponding rectangular dissection of a square.

Expander2 allows the user to control proofs and computations at three levels of interaction.

At the high level, analytic or synthetic inference rules or other syntactic transformations are applied individually and locally to selected subtrees (see Subtrees menu). The rules cover single axiom applications, substitution or unification steps, Noetherian, Hoare, subgoal or fixpoint induction and coinduction. Derivations are correct if, in the case of trees representing terms, their sum is equivalent to the sum of their successors or, in the case of trees representing formulas, their dis- resp. conjunction is implied by the dis- resp. conjunction of their successors. The underlying models are determined by built-in data types and the least/greatest interpretation of Horn/co-Horn axioms. Incorrect deduction steps are detected and cause a warning. All proper tree transformations are recorded, be they correct proofs or other transformations. Terms and formulas are built up from the symbols of the current signature (see

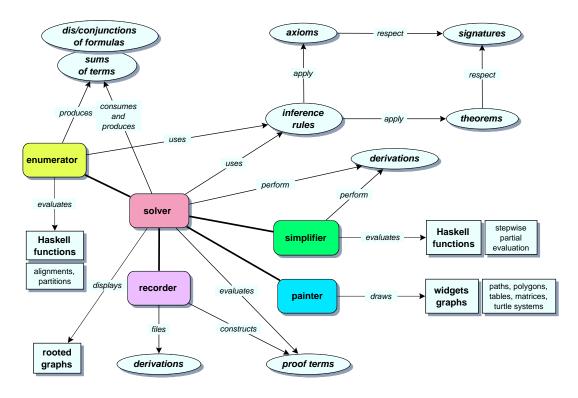


Figure 2.1: Components of Expander2

Solver state variables). For more details on the syntax and semantics of axioms, theorems and goals, see Axioms and theorems and Swinging Types.

At the medium level, rewriting and narrowing realize the iterated and exhaustive application of all axioms for the defined functions, predicates and copredicates of the current signature. Terminating rewriting sequences end up with **normal forms**, i.e. terms consisting of constructors and variables. Terminating narrowing sequences end up with the formula True, False or solved formulas that represent solutions of the initial formula. Since the axioms are functional-logic programs in abstract logical syntax, rewriting and narrowing agree with program execution. Hence the medium level allows one to test such programs, while the inference rules of the high level provide a "tool box" for program verification. In the case of finite data sets, rewriting and narrowing is often sufficient even for program verification. Besides classical relations or deterministic functions, non-deterministic functions (e.g. state transition systems) and "distributed" transition systems like  $Maude\ programs\ [3]$  or algebraic nets [19] may also be axiomatized and verified by Expander2. The latter are executed by applying associative-commutative rewriting or narrowing on  $bag\ terms$ , i.e. multisets of terms.

At the low level, built-in Haskell functions simplify or (partially) evaluate terms and formulas and thereby hide most routine steps of proofs or computations. The functions comprise arithmetic, list, bag and set operations, term equivalence and inequivalence (that depend on the current signature's constructors) and logical simplifications that turn formulas into nested Gentzen clauses. Evaluating a function f at the medium level means narrowing upon the axioms for f, Evaluating f at the low level means running a built-in Haskell implementation of f. This allows one to test and debug algorithms and visualize their results. For instance, translators between different representations of Boolean functions were integrated into Expander2 in this way. In addition, an execution of an iterative algorithm can be split into its loop traversals such that intermediate results become visible, too. Currently, the computation steps of Gaussian equation solving, automata minimization [7], OBDD optimization, LR parsing, data flow analysis and global model checking can be carried out and displayed (see Simplifications).

## 3 Overall code structure

The code of Expander2 consists of four O'Haskell modules:

- Eterm contains data types and functions for generating, manipulating or checking terms and formulas, such as unification, matching, reduction and expansion of collapsed trees.
- Epaint provides Haskell functions for parsing terms and formulas and computing and displaying their graphical representations that are built up from Tk canvas widgets. Collections of various pictorial elements can be defined as movements over the plane according to a turtle interpretation (see Widgets, graphs, and turtle actions). The reactive components for animating the turtle and displaying graphical objects are gathered in the painter, crawler and slowActor templates (= classes). The colorFlasher template animates the error messages appearing in label fields (see below).
- Esolve encapsulates translators between string, tree and graphical representations of terms and formulas. Esolve also contains the simplifier that partially evaluates terms and formulas. Moreover, the basic inference rules for applying axioms and theorems are implemented here. Esolve also contains the enumerator template that provides a GUI for running tree enumeration algorithms (see the sections Alignments and palindromes and Dissections and partitions). They are called from the solver template, which is part of Ecom.
- Ecom configures the GUI and provides all string- or tree-generating, -manipulating or -translating commands that the user may call for carrying out proofs or computations and presenting their results interactively. Multiple tree-shaped results can be displayed and browsed through on the canvas of a solver and in some cases interpreted graphically and displayed in the painter window of a solver (see the paint button). Ecom closes with the main program of the system that creates the main objects, partly in a mutually recursive way:

```
main tk = do
  win1 <- tk.window []</pre>
  win2 <- tk.window []</pre>
  swing1 <- colorFlasher tk
  swing2 <- colorFlasher tk
  swing3 <- colorFlasher tk
  swing4 <- colorFlasher tk
  fix solve1 <- solver tk "Solver1" win1 solve2 "Solver2" enum1 paint1 swing3
      solve2 <- solver tk "Solver2" win2 solve1 "Solver1" enum2 paint2 swing4</pre>
      paint1 <- painter tk swing1 solve1
      paint2 <- painter tk swing2 solve2</pre>
      enum1 <- enumerator tk solve1
      enum2 <- enumerator tk solve2
  solve1.configSolve (0,20)
  solve2.configSolve (20,40)
  win2.iconify
```

The colorFlasher, solver, painter and enumerator templates make use of the O'Haskell module Tk.hs, which provides the interface to Tcl/Tk (see the O'Hugs computing environments.

# 4 Starting the system

Unpack Ohugs.tar.gz and adapt flags and file paths to your machine. This might apply to CFLAGS and LDFLAGS in src/Makefile, the OS flag in src/prelude.h, the INSTLIB and INSTBIN paths in Makefile and the LD\_LIBRARY\_PATH in the scripts ohugs and rohugs. Compile O'Hugs with make and make install.

The enclosed O'Hugs package contains a special version of the Tk interface module Tk.hs. In contrast to the CVS version, it provides a function that returns the font dependent width of a string. This is needed for the optimal placement of tree node labels. Moreover, three constants in src/prelude.h have been increased: NUM\_OFFSETS = 2048, NUM\_STACK = Pick(1800,12000,256000), NUM\_ADDRS = Pick(28000,60000,640000).

Unpack Expander2.tar.gz, call ohugs -h12000k Ecom and run *main*. Two solvers and their painters will be created. The window of the first solver is opened. In case of installation problems contact me.

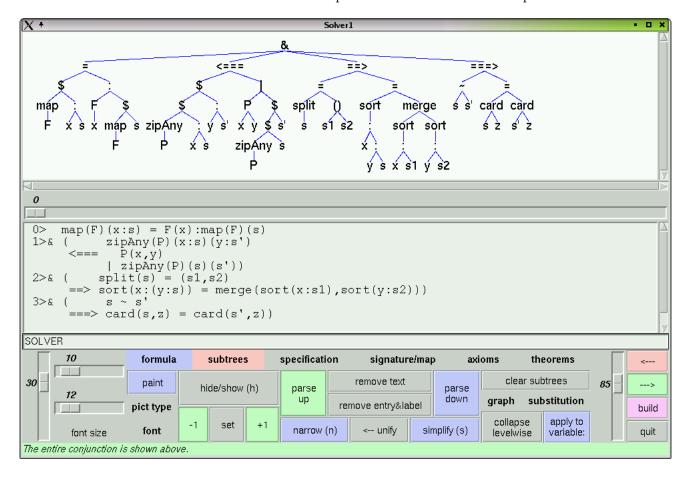


Figure 4.1: The solver window shows axioms of a list specification.

## 5 Solver window

Viewed from top to bottom, a solver window consists of the following widgets:

- a scrollable canvas,
- a horizontal **slider** for selecting the tree to be shown on the canvas,
- a scrollable and line-editable text field,
- an entry field for entering file names, node entries or integers,
- a vertical and a horizontal slider for stretching or shrinking the tree horizontally resp. vertically,
- a horizontal **slider** for changing the size of the node label font,
- nine boldface-titled menus described below,
- eighteen framed buttons described below,
- a vertical slider for changing the relative vertical size of the canvas and the text field,
- a label field for displaying messages.

#### 6 Solver state variables

- actions, boolFun, dissects, finals, finalsL, labels, fixPositions, matrixU, matrixL, transitions and transitionsL may occur as function symbols in terms or formulas. Their values are stored by rewriting steps, retrieved and modified by simplification steps and represented pictorially by applying a suitable widget interpreter. The purpose of these state variables is to hide complex function parameters from the screen whose current values are needed by the simplifier needs for evaluating built-in iterative Haskell functions (see Simplifications).
- The current axioms and theorems are applied to conjectures/constraints and build up the highor medium-level steps of a computation or proof. Axioms and theorems are applied by rewriting or narrowing. A narrowing/rewriting step starts with unifying/matching a subtree (the redex) with/against an axiom. Narrowing applies (guarded) Horn or co-Horn clauses, rewriting applies only unconditional, but possibly guarded, equations. The guard of an axiom is a subformula to be solved before the axiom is applied. See also the Axioms menu, Axioms and theorems and the narrow/rewrite button.
- curr holds the position of the actually displayed tree in the list of current trees.
- formula indicates whether the list of current trees represents a disjunction or conjunction of formulas or a sum of terms, respectively. Conditional equations (see Axioms and theorems) applied to a formula should be valid in the initial model of the underlying swinging type, while conditional equations applied to a term may represent rewrite rules that are not valid equations. The results of the applications of several rewrite rules applied to the same term are combined with <+> to a sum of terms (see Built-in signature).
- The widget interpreter **pictEval** recognizes paintable terms and transforms them into their pictorial representations (see Widgets, graphs, and turtle actions).
- The current **proof** records the sequence of derivation steps performed since the last initialization of the list of current trees (by parsing the contents of the text field; see Derivations). Each element of the current proof consists of a description of a rule application, the resulting list of current trees and the resulting values of treeMode, curr, treeposs, varCounter, solPositions, fixPositions, substitution and subsDom.
- The current **proof term** represents the current proof as an executable expression for the purpose of later proof checking. It is built up automatically when a derivation is carried out and can be saved to a user-defined file. A saved proof term is loaded by writing its name into the entry field and pushing check proof term from file. This action overwrites the current proof term. The proof represented by the loaded proof term is carried out (and thus checked) on the current tree by pushing the → button. Each click triggers a proof step. The proof term is entered into the text field. POINTER precedes the rule applied next. If you push the check button, Expander2 leaves the proof check mode, i.e. the state variables *proof* and *proof term* will be handled as in the (default) proof build mode. This allows you to extend (a prefix of) a stored proof by new rule applications.
- rule indicates whether the list of current trees is the result of narrowing steps, rewriting steps,

simplification steps or other rule applications.

- matching indicates the current strategy used for narrowing/rewriting (see the ← unify/match button).
- The current **signature** consists of symbols denoting
  - basic specifications (specs) consisting of signatures and axioms,
  - predicates (preds) interpreted as the least solutions of their (Horn) axioms,
  - copredicates (copreds) interpreted as the greatest solutions of their (co-Horn) axioms,
  - constructors (constructs) for building up data,
  - defined functions (defuncts) specified by (Horn) axioms or implemented as Haskell functions called by the simplifier,
  - coinductive functions (cofuncts) specified by (Horn) axioms,
  - first-order variables (fovars) that may be instantiated by terms or formulas,
  - higher-order variables (hovars) that may be instantiated by functions or (co)predicates.

For more details, see Built-in signature.

• The current **signature map** is a signature morphism from the current signature to the current signature of the other solver. It is initialized as the identity map on strings. Example (STACK2IMPL):

$$just \to entry$$

$$= \to \sim$$

- The list **solPositions** consists of the positions of **solved formulas** resp. **normal forms** among the current trees.
- The current **substitution** maps the variables of its domain (= actual value of **subsDom**) to terms over the current signature. It is generated, modified and applied by particular buttons (see the **Substitution menu** and the apply to variable: button).
- treeMode indicates whether the list trees of current trees (or other rooted graphs) is a single-ton (treeMode=tree) or represents a disjunction of formulas (treeMode=summand), a conjunction of formulas (treeMode=factor) or a sum (= disjoint union) of terms (treeMode=term). True, False and () is the respective zero element (see Built-in signature). The label of the trees menu shows the actual tree mode: If treeMode=tree", then the label is term resp. formula. If treeMode=summand/factor, then the label tells us how many summands resp. factors the set of current trees consists of. The slider between the canvas and the text field of a solver window allows one to browse among the current trees and to select the one to be displayed on the canvas. For the commands that may change trees, see the Trees menu, the Subtrees menu and the Graph menu.
- The list **treeposs** consists of the positions of selected subtrees of the actually displayed tree. Subtrees are selected (and moved) by pushing the left mouse button while placing the cursor over their roots (see Mouse and key events).

• varCounter maps a variable x to the maximal index i such that  $x_i$  occurs in the current proof. varCounter is updated when new variables are needed.

After each rule application the current proof term is entered into the text field such that the constant POINTER precedes the command that will be executed next. If a new step has been performed during the proof check, the proof term is adapted accordingly, i.e., the rest of the proof to be checked is replaced by the new step. The current proof term is set to [] whenever the contents of the text field is parsed and thus turned into a new list of current trees.

# 7 Built-in signature

The built-in signature reads as follows:

```
preds: <= >= < > » ~/~ ~~ == § any 'disjoint' 'gives' 'in' Int 'not_in' null
    Real 'shares' zipAny INV

copreds: ~ § all zipAll
```

constructs: <+> () [] {} : 0 bool fun lin pile piles suc

defuncts: + ++ - \* \*\* / ^ bag bisim count dnf drop foldl head init last length
 max 'meet' min minimize 'mod' nerode obdd optimize parse permute postflow
 product range reverse sat set stateflow subsflow sum tail take get0 get1
 get2... upd0 upd1 upd2... x0 x1 x2...

fovars: i z

#### hovars:

<=, >=, <, > are predefined on integers, reals, strings and the defined functions x0, x1, x2, ... (used in OBDDs; see below and Grammar). <=, 'in' and 'not\_in' denote the subset, membership and non-membership relations, respectively, on **collections**, i.e. terms  $C(t_1, \ldots, t_n)$  where C is one of the constructors [] or {} or  $C(t_1, \ldots, t_n) = t_1 \land \ldots \land t_n$ . 'in' treats a triple  $(i, x, [i_1, \ldots, i_n])$  where  $i, i_1, \ldots, i_n$  are integers and x is any string as the list  $[(i, x, i_1), \ldots, (i, x, i_n)]$ . 'gives' denotes the inverse of 'in', but is simplified differently (see Simplifications).

Int (t) and Real (t) return True if t is an integer or real number, respectively. Int (t) and Real (t) return False if t is a real or an integer number, respectively.

any (P) (as) return True if as contains an element that satisfies P. all (P) (as) return True if all elements of as satisfy P. zipAny (P) ([al,..,an]) ([bl,..,bn]) return True if for some  $1 \le i \le n$ ,  $(a_i,b_i)$  satisfies P. zipAll (P) ([al,..,an]) ([bl,..,bn]) return True if for all  $1 \le i \le n$ ,  $(a_i,b_i)$  satisfies P.

Formulas involving » or INV are generated whenever an induction hypothesis or a (Hoare or subgoal) invariant is created (see Subtrees menu). For the use of §, see enclose/replace by entry.

The infix constructor <+> builds **sums** of terms (see Solver state variables). The simplifier transforms a term of the form  $f(\ldots,t_1<+>\ldots<+>t_n,\ldots)$  into the sum  $f(\ldots,t_1,\ldots)<+>\ldots<+>f(\ldots,t_n,\ldots)$  and an atom of the form  $p(\ldots,t_1<+>\ldots<+>t_n,\ldots)$  into the disjunction  $p(\ldots,t_1,\ldots)|\ldots|p(\ldots,t_n,\ldots)$ . Moreover, given normal forms  $p_1,\ldots,p_n$ , fun (p1,t1)<+>...<+>fun (pn,tn) stands for the abstraction  $\lambda p_1.t_1|\ldots|\lambda p_n.t_n$ .

(), [] and {} denote product, list resp. set constructors of arbitrary finite arity. As a nullary constructor, () denotes "undefined" and is neutral with respect to the sum constructor <+>. The sim-

plifier transforms terms containing () into () and atoms containing () into False. A term of the form  $f((t_1, \ldots, t_n))$  is identified with  $f(t_1, \ldots, t_n)$  provided that f is not a collector. Accordingly, for a variable x, f(x) unifies with  $f(t_1, \ldots, t_n)$ . The constructor: appends an element to a list from the left. 0 and suc are the natural number constructors. If applied to a number list s, suc returns the next permutation of s in reverse lexicographic order. If s is sorted, then suc(s) = reverse(s).

bool and lin embed formulas into terms (see Graph menu). pile and piles are widget constructors (see Widgets, graphs, and turtle actions).

+, -, \*, \*\*, /, 'mod', max, min are defined on integer and real numbers. +, -, \*, / work also for polynomials (\* and / only as scalar operators).

Given finite lists or sets s, s' and integers m, n, s - s' and range(m, n) denote the list of elements of s that are not in s' and the interval [m..n] of integers, respectively. Haskell shortcuts of integer lists like [k, m..n] may also be used. ++, drop, foldl, head, init, last, length, map, null, product, sum, tail, take, reverse, zip and zipWith are defined on collections as the synonymous Haskell functions are defined on lists. Morever, length  $(t_1, \ldots, t_n)$  is simplified to n.

any, all, map, foldl, zip, zipAny, zipAll and zipWith also occur in LIST and LISTEVAL with (recursive) axioms. The synonymous built-in symbols are interpreted as partial non-recursive functions. For instance, a rewriting step via LIST transforms the term map(suc)(x:s) into x:map(suc)(s), while the simplifier does not modify this term, but would turn map(suc)[x,y,z] into [suc(x),suc(y),suc(z)]. Of course, axioms introduced for built-in symbols should comply with their built-in interpretation that is realized by the simplifier.

map, zip and zipWith do not occur in the above list of built-in symbols because LIST treats them as defined functions, while STREAM declares them as constructors.

count(ts,t) counts the number of occurrences of t in the list ts. ts 'disjoint' us checks whether the lists ts and us are disjoint. ts 'meet' us computes the intersection of the lists ts and us. ts 'shares' us checks whether the lists ts and us are not disjoint.

As in Haskell, \$ denotes the apply operator whose first argument is a higher-order term t that represents a predicate or function f. The other arguments of \$ are the arguments of f, i.e.  $\$(t, t_1, \ldots, t_n)$  stands for  $t(t_1, \ldots, t_n)$ .

get0, get1, get2,... and upd0, upd1, upd2,... return resp. update the first, second, third,... component of a tuple or element of a collection. x0, x1, x2,... are used—besides 0 and 1—as node labels of OBDDs.

bag transforms a list into a bag and flattens terms built up with the infix operator ^ (see below). set transforms a list or bag into a set. Many functions defined on lists are also defined on other collections. If count, 'disjoint', 'in', 'meet', 'not\_in', 'shares', ++, - and <= are applied to such collections where their evaluation needs an equality on the elements of the collections, the simplifier transforms them only if they consist of ground constructor terms!

obdd transforms a DNF represented as a list of strings of the same positive length whose characters are 0, 1 or # into an equivalent minimal OBDD. dnf transforms OBDDs into equivalent minimal DNFs. If applied to a DNF, minimize minimizes the number of summands of a DNF. If applied to an OBDD, minimize minimizes the number of nodes of an OBDD according to the two reduction rules for OBDDs [17].

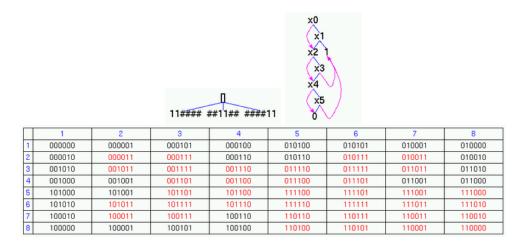


Figure 7.1: A DNF (QUAD2), its minimal OBDD and its Karnaugh diagram

 $\hat{t}$  is an infix operator for building bags and treated by the unification algorithm as an associative and commutative function. When a **bag term**  $t_1 \wedge \ldots \wedge t_n$  in the displayed tree is to be unified with another bag term u, then the unification succeeds even if only a permutation of  $t_1 \wedge \ldots \wedge t_n$  unifies with u. If there are several unifiers, those are preferred, which substitute only variables for variables. Among these unifiers those are preferred, which substitute variables only for variables of u.

Axioms of the form

$$\{guard \Rightarrow\} \quad (t_1 \land \dots \land t_k \land ! \land t_{k+1} \land \dots \land t_n = t \quad \{\Leftarrow prem\})$$
 (\*)

are called **AC equations** because they take into account that  $^{\wedge}$  is an associative-commutative function. (\*) can be applied to a bag term  $u_1 ^{\wedge} \dots ^{\wedge} u_m$  if a list  $L_1 = [u_{i_1}, \dots, u_{i_m}]$  of elements of  $L = [u_1, \dots, u_m]$  unifies with  $[t_1, \dots, t_n]$  and if the unifier satisfies the guard. At first, a substitution f that unifies  $L' = [t_1, \dots, t_k]$  with members of L is looked for. Then f must be extendable to a substitution g that satisfies the guard and unifies  $[t_{k+1}, \dots, t_m]$  with a permutation of the list  $L_2 = [v_1, \dots, v_{n-k}]$  that consists of all elements of L, which were not unified with elements of L'. The search is performed by traversing the permutations of  $L_2$  in reverse lexicographic order. If a suitable permutation has been found, the elements of  $L_1$  are replaced by the instance of t by t0, while the remaining elements of t1 are replaced with their instances by t2. At most 720 permutations of t3 are checked. If this case is reached without achieving a unifier, then the application of (\*) consists of replacing t3 by the permutation achieved at last. Further permutations may then be tried by re-applying the AC equation.

For instance, repeated applications of the AC equation (see MOD)

$$i$$
' $mod$ ' $j = 0 \Rightarrow ! \land i \land j = j$ 

(see PRIMS) to

$$2 \land 3 \land 4 \land 5 \land 6 \land 7 \land 8 \land 9 \land 10 \land 11 \land 12 \land 13 \land 14 \land 15$$
,

sift out the primes and thus end up with

$$2 \land 3 \land 5 \land 7 \land 11 \land 13$$
.

ACCOUNT, HANOI, PUZZLE and the algebraic net specifications PHIL and ECHO also contain AC equations. In contrast to the pure AC unification of bag terms, the AC equation (\*) may be applicable to a bag term  $u_1 \wedge ... \wedge u_n$  even if n is greater than k + m.

Set brackets used in clauses enclose optional subformulas, i.e. guard and prem in (\*) may be empty.

```
quiet uninformed mailbox waiting terminated pending accepted i a b

waiting mailbox terminated accepted quiet pending uninformed i a b
```

Figure 7.2: Snapshots of a run of the echo algorithm (cf. [19])

The operators &, |, =, ==, =/=, ~, ~, ~, +,  $\star$ , ^, {}, <+> are interpreted as *permutators*, i.e., the order of their arguments is irrelevant. Consequently, AC unification as described above for ^ replaces ordinary unification whenever two argument lists of a permutator are to be unified.

The operators  $\hat{\ }$ , <+>,++ are interpreted as associative operators and thus may have an arbitrary finite number of arguments. The parser and the simplifier flatten compositions of the same associative operator. For instance,  $t_1 \wedge ((t_2 \wedge t_3) \wedge t_4)$  is turned into a tree with root label  $\wedge$  and four maximal proper subtrees:  $t_1, t_2, t_3, t_4$ . While two terms  $t_1$  and  $t_2$  with root label  $\wedge$  may unify with each other even if the number of maximal proper subtrees of  $t_1$  resp.  $t_2$  is different (see above), this does not hold true for <+> and ++ instead of  $\wedge$ . Hence axioms for these operators could not be applied to terms with arities of <+> and ++ that are different from the corresponding arities in the axioms. But such axioms are not needed because the parser and the simplifier already reduce <+>- and ++-rooted terms to their minimal representations according to the usual meaning of <+> and ++. This includes the idempotency of <+>, the neutrality of empty collections with respect to ++ and the axiom (x:s) + +s' = x: (s++s').

~, ~~ and == are supposed to be congruence relations. ~ is declared as a copredicate because it denotes behavioral equivalence. Axioms for the predicate ~~ are introduced and used when clauses of the form  $prem \Rightarrow t \sim u$  are proved by coinduction.

<, >, =/=,  $^{\sim}$ / $^{\sim}$  are the complements of >=, <=, =,  $^{\sim}$ , respectively. For each other predicate or copredicate P,  $not_P$  denotes the complement of P. Axioms for the complement of P are added to the set of current axioms if P is entered into the entry field and the button negate axioms for symbol is pushed.

Subformulas involving built-in functions or predicates are (partially) evaluated when the displayed tree is simplified. This includes the stepwise execution of built-in functions with state variable parameters (see Simplifications).

Here is a user-defined signature (the one of OBDD):

defuncts: restrict forall exists quantor x X Y F and or not

fovars: u2 u1 u t2 t1 t j i b

hovars:  $F\{and,or\} X\{x\} Y\{x\}$ 

F{and,or} denotes that the defined functions and and or are the only admissable instances of the higher-order variable F. In general, the list following a higher-order variable F must consist of characters. All terms starting with one of the characters is an admissable instance of F. If F is not followed by a list of characters, all terms are admissable.

Keywords (specs:, preds:, copreds:, constructs:, defuncts:, cofuncts:, fovars: and hovars:) may appear at any place in the list of symbols that builds up a signature. To be recognized as keywords they must be separated from their context by blanks.

#### 7 Built-in signature

User-defined signatures automatically inherit the built-in signature. Symbols that are to be interpreted as infix operators must start and end with the character ' or consist of characters among

: + - \* < = ~ > / ^ #

(see Grammar).

Symbols used in axioms, theorems or conjectures that do not belong to the current signature are interpreted as (undefined) function symbols (see Grammar). This facilitates certain applications, but may also lead to unexpected unification failures when axioms or theorems shall be applied. To exclude misinterpretations of symbols one should use the respective buttons for showing the current signature, axioms or theorems or even parse up the latter.

# 8 Mouse and key events

A subtree t is selected (or deselected if it has already been selected) by clicking on the **left mouse button** while placing the cursor over its root. If the mouse is moved while the button is pressed, t is shifted over the canvas. If the button is released while the root of t is placed over the root of another subtree u, u is replaced by t. If u is an existentially (resp. universally) quantified variable and the scope of u has positive (resp. negative) polarity, then all occurrences of u within the scope are replaced by t (see Derivations). Replaced subterms are colored in blue.

Subtrees are deselected backwards with respect to the order in which they were selected by moving the cursor away from the displayed tree and pushing the left mouse button. All selected subtrees are deselected simultaneously if the clear subtrees button is pushed. If you stop moving a subtree before inserting it into the displayed tree, it will stay at the place where you released the mouse button. Then push the redraw button and the subtree will be returned to its previous place within the displayed tree.

If a subtree t has been selected and the move of t is started with a click on the **middle mouse button**, t will be removed from the displayed tree and replaced by the variable  $z_n$  where the index n is increased each time a subtree is removed or a new variable is needed when an axiom is flattened (see below). Moreover, the current substitution is extended by the assignment of t to  $z_n$ .

By moving the mouse and pushing the middle button outside the root of a selected subtree the entire displayed tree is shifted over the canvas. By pressing the **right mouse button** while placing the cursor over a node x a **pointer** (edge) from x to the root of the last selected subtree t is drawn and all successors of x are removed. The arc is colored in orange if it closes a circle consisting of edges of t. Otherwise the arc is colored in magenta. Subtree replacements and substitutions for variables adapt the pointer values.

A command followed by a letter in round brackets is executed when the key with the letter is pressed after the cursor has been placed over the label field and the left mouse button has been pushed (see Commands).

#### 9 Trees menu

The commands of the trees menu create or transform the current trees or the current proof.

- call enumerator opens a submenu listing tree enumeration algorithms. When you push the button for one of these algorithms, you will be prompted to enter sequences of strings (in the case of the alignment or palindrome enumerator), numbers (in the case of the dissection enumerator) or the length of a list (in the case of the partition enumerator) and certain constraints (see the sections Alignments and palindromes and Dissections and partitions). After the "go" button has been pushed, the resulting trees are assigned to Solver1/2 and may be browsed through with the canvas slider.
- split tree m decomposes a conjunction, disjunction or sum into its factors, summands and terms, respectively, provided that the list of current trees is a singleton
- combine trees w combines all current trees into a disjunction, conjunction or sum, respectively.
- remove other trees eliminates all current trees except the current one.
- remove displayed tree eliminates the displayed tree.
- show changed selects all maximal elements within the set of subtrees that have been modified during the last transformation of the displayed tree.
- show proof enters the current proof into the text field.
- show proof in text field of Solver1/2 opens Solver1/2 and enters the current proof into its text field.
- save proof to file p saves the current proof to the file in the entry field.
- show proof term enters the current proof term into the text field.
- show proof term in text field of Solver1/2 opens Solver1/2 and enters the current proof term into its text field.
- save proof term to file t saves the current proof term to the file in the entry field.
- **check proof term from file** assigns the contents of the file in the entry field to the current proof term provided that the contents is a proof term.
- check proof term from text field assigns the contents of the text field to the current proof term provided that the contents is a proof term.
- **create induction hypotheses** prepares the displayed tree cl for a proof by Noetherian induction. The command assumes that cl is a formula and that free or universal *induction* variables  $x_1, \ldots, x_n$

of cl have been selected, which will be decorated with an exclamation mark. Non-selected free variables are turned into universal variables. If cl has the form  $prem \Rightarrow conc$ , then the clauses

$$conc' \iff (x_1, \dots, x_n) >> (x'_1, \dots, x'_n) \& prem'$$
  
 $prem' \implies ((x_1, \dots, x_n) >> (x'_1, \dots, x'_n) \Rightarrow conc')$ 

are added to the set of current theorems. The primed formulas are obtained from the unprimed ones by replacing  $x_i$  with  $x_i'$ ,  $1 \le i \le n$ . If cl is not an implication, then

$$cl' \longleftarrow (x_1, \dots, x_n) >> (x'_1, \dots, x'_n)$$

is added to the set of current theorems.

• flatten (co-)Horn clause assumes that the displayed tree is a Horn or co-Horn clause cl (see Axioms and theorems). If subterms  $t_1, \ldots, t_n$  of cl are selected and F is the set of roots of  $t_1, \ldots, t_n$ , then cl is replaced by an equivalent formula where each  $f \in F$  occurs only at the outermost position of the left- or right-hand side of an equation. If no subterms are selected, F is the set of all defined functions of the current signature. For instance, the LISTEVAL-axiom

$$sort(x:(y:s)) = merge(sort(x:s_1), sort(y:s_2)) \iff split(s) = (s_1, s_2)$$

is turned into

$$sort(x:(y:s)) = merge(z_0, z_1)$$
  $\iff split(s) = (s_1, s_2) \& sort(x:s_1) = z_0 \& sort(x:s_2) = z_1$ 

if  $F = \{sort\}$  and into

$$sort(x:(y:s)) = z_0$$
 $\iff split(s) = (s_1, s_2) \& merge(z_1, z_2) = z_0$ 
 $\& sort(x:s_1) = z_1 \& sort(y:s_2) = z_2$ 

if  $F = \{sort, merge\}$ .

• turn local def into function application takes the last local definition (= equation with a normal form on the right-hand side) in the displayed tree, which is supposed to be a conditional equation, and transforms it into an equivalent function application. For instance,

$$t = u \iff prem \& v = nf \text{ becomes } t = fun(nf, u)(v) \iff prem.$$

- save tree to file saves the string representation of the displayed tree to the file in the entry field.
- save tree in eps format to file is saves the current tree in Encapsulated PostScript format to the file in the entry field.
- save trees to file saves the disjunction, conjunction or sum, respectively, of the current trees to the file in the entry field.
- load text from opens a submenu of files. The term or formula in the selected file is entered into the text field. Signature elements declared in the file are added to the current signature. In this case, the formula must be separated from the term resp. formula by the keyword conjectures:. Files containing only signature elements are not allowed here.

# 10 Font menu

consists of buttons for choosing the font to be used for the text in tree nodes and pictorial term representations. The font size is controlled by a slider (see Solver window).

#### 11 Subtrees menu

The commands of this menu transform the subtrees that were selected with the left mouse button. If no subtree has been selected, the entire displayed tree is regarded as being selected. Most commands call inference rules and deliver messages that tell us whether or not the executed rule application is sound with respect to the initial model induced by the current signature and axioms (see Derivations).

- copy adds a copy of the subtree selected at last to the children of its parent node.
- **remove** removes all selected subtrees if they are summands/factors of the same disjunction/conjunction with positive/negative polarity. Otherwise the greatest lower bound of the selected subtrees is removed.
- generalize combines the last selected subformula cl of the displayed tree with the formula cl' in the entry field. If cl has positive polarity, then cl&cl' replaces cl. Otherwise  $cl \mid cl'$  replaces cl. A generalization of cl may be necessary before cl can be proved by Noetherian induction, fixpoint induction or coinduction.
- instantiate assumes the selection of a quantified variable x. If x is existential resp. universal and the scope of x has positive resp. negative polarity (see Derivations), then all occurrences of x are replaced by the term in the entry field. The replaced variables are colored in blue.
- **subsume** assumes the selection of the premise t and the conclusion u of an implication or two factors t and u of a conjunction or two summands t and u of a disjunction. If t subsumes u, then  $t \Rightarrow u$  is replaced by True or u is removed from the conjunction or t is removed from the disjunction, respectively.
- unify assumes the selection of two factors or summands t and u of a conjunction resp. disjunction. If t and u are unifiable and the unifier instantiates only existential resp. universal variables of the conjunction resp. disjunction, then t is removed and the unifier is applied to the remaining conjunction resp. disjunction.
- reverse reverses the list of at least two selected subtrees. The reduct implies the redex if the subtrees have the same direct predecessor x and if x is a permutator (see Built-in signature). If only one subtree t is selected, then the operation is applied to the list of maximal proper subtrees of t.
- **decompose atom** assumes the selection of an atom tRt' with positive polarity such that R is among =,  $\sim$  or an atom tRt' with negative polarity such that R is among  $\neq$ ,  $\not\sim$ . The selected atom is decomposed in accordance with the assumption that R is compatible with function symbols (see Built-in signature).
- apply transitivity assumes the selection of an atom tRt' with positive polarity or factors  $t_1 R t_2, t_2 R t_3, \ldots, t_{n-1} R t_n$  of a conjunction with negative polarity (see Derivations) such that R is among  $=, \sim, \sim \sim, \leq, \geq, <, >$ . The selected atoms are changed in accordance with the assumption that R is transitive (see Built-in signature).

- apply clause from entry field applies the n-th clause cl in the text field to all selected subtrees provided that the entry field contains the number n. cl may be applied from left to right a or from right to left b where the direction refers to the left resp. right argument of the clause's leading symbol (=,  $\Longrightarrow$  or  $\Longleftrightarrow$ ). If cl is distributed (see Axioms and theorems), then cl's atoms must unify componentwise with the selected subtrees. Otherwise cl is applied sequentially to each selected subtree.
- ... and save redex adds the redex disjunctively/conjunctively to the reduct if the clause is a non-distributed Horn/co-Horn clause. The correctness of this version of the rule does not depend on the polarity of the redex.
- apply clause from text field applies the clause in the text field analogously to apply clause from entry field.
- shift factors shifts to the conclusion of an implication  $prem \Rightarrow conc$  all selected factors of the premise (see [10, section 5]). This may be necessary before  $prem \Rightarrow conc$  is submitted to a proof by fixpoint induction.
- shift summands shifts to the premise of an implication  $prem \Rightarrow conc$  all selected summands of the conclusion (see [10, section 5]). This may be necessary before  $prem \Rightarrow conc$  is submitted to a proof by coinduction.
- stretch premise assumes the selection of a formula of the form (B), (C) or (D). The formula is turned into the corresponding co-Horn clause of the form (B'), (C') resp. (D').
- stretch conclusion assumes the selection of a formula of the form (A). The formula is turned into the corresponding Horn clause of the form (A').
- apply coinduction assumes the selection of conjectures

$$\begin{aligned} \{prem_1 &\Rightarrow \} & p(t_{1_1}, \dots, t_{1_n}) \\ \& \dots &\\ \& \{prem_k &\Rightarrow \} & p(t_{k_1}, \dots, t_{k_n}) \end{aligned}$$
 (A)

about a copredicate p that does not depend on any predicate or function occurring in  $prem_i$ . (A) is turned into

$$p(x_1, ..., x_n) \longleftarrow \{prem_1 \&\} x_1 = t_{1_1} \& ... \& x_n = t_{1_n}$$

$$|...$$

$$|\{prem_k \&\} x_1 = t_{k_1} \& ... \& x_n = t_{k_n}.$$
(A')

 $x_1, \ldots, x_n$  are variables. In fact, only those terms among  $t_{i_1}, \ldots, t_{i_n}$  are replaced by variables that are not variables or occur more than once among  $t_{i_1}, \ldots, t_{i_n}$ . Morever, a new predicate p' is added to the current signature and

$$p'(x_1, ..., x_n) \longleftarrow \{prem_1 \& \} x_1 = t_{1_1} \& ... \& x_n = t_{1_n}$$

$$| ...$$

$$| \{prem_k \& \} x_1 = t_{k_1} \& ... \& x_n = t_{k_n}$$
(\*)

becomes the axiom for p'. All occurrences of p in the axioms for p are replaced by p'. Then (\*) is applied to all occurrences of p' in the transformed axioms for p. The conjunction of the clauses resulting from these applications replaces the original conjecture (A).

The primed version of the copredicate  $\sim$  (see Built-in signature) is denoted by  $\sim \sim$  and regarded as an equivalence relation. This takes into account the equivalence closure involved in the coinduction rule for  $\sim$  (see, e.g., [16, Section 9.2]).

If n > 1 conjectures of the form (A) have been selected, they are assumed to be factors of the same conjunction and deal with different copredicates  $p_1, \ldots, p_n$ . The n stretched versions of the form (A') are applied to the axioms for  $p_1, \ldots, p_n$ .

• apply strong coinduction (see [6, 16]) also assumes the selection of conjectures (A) and turns them into (A'). Morever, a new predicate p' is added to the current signature and

$$p'(x_1, \dots, x_n) \longleftarrow \{prem_1 \&\} x_1 = t_{1_1} \& \dots \& x_n = t_{1_n}$$

$$| \dots \qquad (*)$$

$$| \{prem_k \&\} x_1 = t_{k_1} \& \dots \& x_n = t_{k_n},$$

$$p'(x_1, \dots, x_n) \longleftarrow p(x_1, \dots, x_n) \qquad (**)$$

become the axioms for p'. Each axiom  $p(t_1, \ldots, t_n) \Longrightarrow conc$  for p is replaced by

$$p(t_1,\ldots,t_n) \Longrightarrow conc \mid p(t_1,\ldots,t_n).$$

All occurrences of p in the original part of the extended axioms for p are replaced by p'. Then (\*) and (\*\*) are applied to all occurrences of p' in the transformed axioms for p. The conjunction of the clauses resulting from these applications replaces the original conjecture (A).

• apply fixpoint induction assumes the selection of conjectures

$$p(t_{1_1}, \dots, t_{1_n}) \Rightarrow conc_1$$
& ...
$$\&p(t_{k_1}, \dots, t_{k_n}) \Rightarrow conc_k$$
(B)

about a predicate p that does not depend on any predicate or function occurring in  $conc_i$  or a conjecture of the form

$$f(t_{1_1}, \dots, t_{1_n}) = t_1 \Rightarrow conc_1$$
&...
$$\& f(t_{k_1}, \dots, t_{k_n}) = t_k \Rightarrow conc_k$$
(C)

or

$$f(t_{1_1}, \dots, t_{1_n}) = t_1 \{ \& conc_1 \}$$

$$\& \dots$$

$$\& f(t_{k_1}, \dots, t_{k_n}) = t_k \{ \& conc_k \}$$
(D)

about a defined function f that does not depend on any predicate or function occurring in  $t_i$  or  $conc_i$ . (B) is turned into

$$p(x_1, \dots, x_n) \Longrightarrow (x_1 = t_{1_1} \& \dots \& x_n = t_{1_n} \Rightarrow conc_1)$$

$$\& \dots$$

$$\& (x_1 = t_{k_1} \& \dots \& x_n = t_{k_n} \Rightarrow conc_k).$$
(B')

(C) is turned into

$$f(x_1, \dots, x_n) = x \Longrightarrow (x_1 = t_{1_1} \& \dots \& x_n = t_{1_n} \& x = t_1 \Rightarrow conc_1)$$

$$\& \dots$$

$$\& (x_1 = t_{k_1} \& \dots \& x_n = t_{k_n} \& x = t_k \Rightarrow conc_k).$$
(C')

(D) is turned into

$$f(x_1, \dots, x_n) = x \Longrightarrow (x_1 = t_{1_1} \& \dots \& x_n = t_{1_n} \Rightarrow x = t_1 \{\& conc_1\})$$

$$\& \dots$$

$$\& (x_1 = t)k_1 \& \dots \& x_n = t_{k_n} \Rightarrow x = t_k \{\& conc_k\}).$$
(D')

 $x_1, \ldots, x_n, x$  are variables. In fact, only those terms among  $t_{i_1}, \ldots, t_{i_n}$  are replaced by variables that are not variables or occur more than once among  $t_{i_1}, \ldots, t_{i_n}$ . Morever, a new predicate p' resp. f' is added to the current signature and

$$p'(x_1, ..., x_n) \Longrightarrow (x_1 = t_{1_1} \& ... \& x_n = t_{1_n} \Rightarrow conc_1)$$

$$\& ...$$

$$\& (x_1 = t_{k_1} \& ... \& x_n = t_{k_n} \Rightarrow conc_k)$$
(\*)

resp.

$$f'(x_1, ..., x_n, x) \Longrightarrow (x_1 = t_{1_1} \& ... \& x_n = t_{1_n} \& x = t_1 \Rightarrow conc_1)$$

$$\& ...$$

$$\& (x_1 = t_{k_1} \& ... \& x_n = t_{k_n} \& x = t_k \Rightarrow conc_k)$$
(\*)

resp.

$$f'(x_1, ..., x_n, x) \Longrightarrow (x_1 = t_{1_1} \& ... \& x_n = t_{1_n} \Rightarrow x = t_1 \{\& conc_1\})$$

$$\& ...$$

$$\& (x_1 = t_{k_1} \& ... \& x_n = t_{k_n} \Rightarrow x = t_k \{\& conc_k\}).$$
(\*)

becomes the axiom for p'/f'. All occurrences of p/f in the (flattened) axioms for p/f are replaced by p'/f'. Replacing f actually means replacing equations f(t) = u by logical atoms f'(t, u). Then (\*) is applied to all occurrences of p'/f' in the transformed axioms for p/f. The conjunction of the clauses resulting from these applications replaces the original conjecture (B)/(C)/(D)

If n > 1 conjectures of the form (B)/(C)/(D) have been selected, they are assumed to be factors of the same conjunction and deal with different predicates or defined functions  $p_1, \ldots, p_n$ . The n stretched versions of the form (B')/(C')/(D') will be applied to the (flattened) axioms for  $p_1, \ldots, p_n$ .

• apply strong fixpoint induction (see [6, 16]) also assumes the selection of conjectures (B), (C) or (D) and turns them into (B')/(C')/(D'). Morever, a new predicate p' resp. f' is added to the current signature and

$$p'(x_1, \dots, x_n) \Longrightarrow (x_1 = t_{1_1} \& \dots \& x_n = t_{1_n} \Rightarrow conc_1)$$

$$\& \dots$$

$$\&(x_1 = t_{k_1} \& \dots \& x_n = t_{k_n} \Rightarrow conc_k),$$

$$(*)$$

$$p'(x_1, \dots, x_n) \Longrightarrow p(x_1, \dots, x_n) \tag{**}$$

resp.

$$f'(x_1, \dots, x_n, x) \Longrightarrow (x_1 = t_{1_1} \& \dots \& x_n = t_{1_n} \& x = t_1 \Rightarrow conc_1)$$

$$\& \dots$$

$$\& (x_1 = t_{k_1} \& \dots \& x_n = t_{k_n} \& x = t_k \Rightarrow conc_k),$$
(\*)

$$f'(x_1, \dots, x_n, x) \Longrightarrow f(x_1, \dots, x_n) = x$$
 (\*\*)

resp.

$$f'(x_1, \dots, x_n, x) \Longrightarrow (x_1 = t_{1_1} \& \dots \& x_n = t_{1_n} \Rightarrow x = t_1 \{\& conc_1\})$$

$$\& \dots$$

$$\& (x_1 = t_{k_1} \& \dots \& x_n = t_{k_n} \Rightarrow x = t_k \{\& conc_k\}),$$
(\*)

$$f'(x_1, \dots, x_n, x) \Longrightarrow f(x_1, \dots, x_n) = x \tag{**}$$

become the axioms for p'/f'. Each axiom  $p(t_1,\ldots,t_n) \Leftarrow prem$  for p is replaced by

$$p(t_1,\ldots,t_n) \longleftarrow prem \& p(t_1,\ldots,t_n).$$

Each axiom  $f(t_1, ..., t_n) = t \iff prem \text{ for } f \text{ is replaced by}$ 

$$f(t_1,\ldots,t_n) = t \iff prem \& f(t_1,\ldots,t_n) = t.$$

All occurrences of p/f in the original part of the (flattened) axioms for p/f are replaced by p'/f'. Replacing f actually means replacing equations f(t) = u by logical atoms f'(t, u). Then (\*) and (\*\*) are applied to all occurrences of p'/f' in the transformed axioms for p/f. The conjunction of the clauses resulting from these applications replaces the original conjecture (B)/(C)/(D).

• create Hoare invariant assumes the selection of a conjecture of the form (C) or (D) such that k = 1 and f has a single axiom of the form

$$f(x_1, \dots, x_n) = loop(u_1, \dots, u_k)$$
 (E)

(C)/(D) is turned into (C')/(D'). (C')/(D') and (E) are transformed into the following conjectures, which characterize INV as a Hoare invariant:

$$INV(x_1, \dots, x_n, u_1, \dots, u_k)$$
 (INV1)

$$loop(y_1, \dots, y_k) = z \& INV(x_1, \dots, x_n, y_1, \dots, y_k) \Rightarrow conc_1$$
 (INV2)

INV2 may be provable by fixpoint induction.

• **create subgoal invariant** works like the preceding command except that the following conjectures are created, which characterize INV as a subgoal invariant:

$$INV(u_1, \dots, u_k, z) \Rightarrow conc_1$$
 (INV1)

$$loop(y_1, \dots, y_k) = z \Rightarrow INV(y_1, \dots, y_k, z)$$
 (INV2)

Again, INV2 may be provable by fixpoint induction.

- enclose/replace by entry assumes the selection of either a single subtree t or several subtrees  $t, t_1, \ldots, t_n$  such that t encloses  $t_1, \ldots, t_n$ . In the first case, the entry field must contain a tree u with at most one occurrence of  $\S$ . If u contains exactly one occurrence of  $\S$ , then t is replaced by  $u[t/\S]$ . Otherwise the displayed tree v is replaced by v[u/t]. In the second case, u must contain exactly n occurrences of  $\S$ . Then these occurrences are replaced by  $t_1, \ldots, t_n$ , respectively, and the resulting tree replaces t in the displayed tree.
- replace by other sides assumes that the subtree t selected at first is an implication and the other selected subtrees  $t_1, \ldots, t_n$  are subterms of the conclusion of t. For each  $1 \le i \le n$ , replace by term searches for an equation eq in the premise of t whose left- or right-hand side agrees with  $t_i$ .  $t_i$  is then replaced by the other side of eq.
- replace by tree of Solver1/2 replaces the subtree selected at last by the displayed tree of Solver1/2.

#### 11 Subtrees menu

- unify with tree of Solver1/2 unifies the subtree selected at last with the displayed tree of Solver1/2.
- build unifier assumes the selection of two subtrees. If they are unifiable, the most general unifier is assigned to the current substitution. Otherwise the reason for the failure is reported.

# 12 Specification menu

- **remove** removes the set of current axioms. The current signature is reduced to the built-in symbols, the current signature map is set to the identity map and the widget interpreter *pictEval* to *matrix* (see Widgets, graphs, and turtle actions).
- save to saves the current specification to the file in the entry field.
- load text from opens a submenu of files. The contents of the selected file is entered into the text field.
- add from opens a submenu of files. Signature elements declared in the file are added to the current signature, while formulas are added to the set of current axioms. The formulas must be entered as a disjunction of at most two conjunctions of guarded Horn or co-Horn clauses (see Axioms and theorems). The first conjunction is regarded as a set of eager axioms, the second conjunction as a set of lazy axioms (see the narrow/rewrite button).

# 13 Signature/map menu

- remove map reduces the current signature map to the identity.
- show sig enters the current signature into the text field.
- show map enters the current signature map into the text field.
- apply map applies the current signature map to the current tree and displays the result in the other solver.
- load text from file loads to the text field the file whose name is in the entry field.
- add map from opens a submenu of files whose contents is compiled into an extension of the current signature map when the respective menu button is pushed.

#### 14 Axioms menu

- remove all removes the set of current axioms.
- remove from entry field removes the n-th clause in the text field from set of current axioms provided that the entry filed contains the number n.
- apply axioms in text field performs at most 5 narrow/rewrite steps upon the axioms in the text field. If subtrees have been selected, then each selected subtree is transformed only once and only at the root.
- apply axioms for symbols writes the axioms for the symbols in the entry field into the text field and performs at most 5 narrow/rewrite steps upon these axioms. If subtrees have been selected, then each selected subtree is transformed only once and only at the root.
- negate axioms for symbols adds axioms for the complements of the roots of the subtrees selected at last (or the (co)predicates in the entry field if no subtrees have been selected) to the set of current axioms. The current signature automatically includes symbols for the complements of all its predicates and copredicates (see Built-in signature).
- invert axioms for symbols transforms the axioms for the roots of the subtrees selected at last (or the (co)predicates in the entry field if no subtrees have been selected) into a single (co-)Horn clause that represents the inverse of the axioms. The clause expresses the <code>least/greatest</code> fixpoint semantics of the predicates and is thus added to the current theorems. For instance, Horn axioms for <code>sorted</code> (see LIST) read as follows:

```
sorted[]

sorted[x]

x \le y \Rightarrow (sorted(x : (y : s)) \iff sorted(y : s))
```

The equivalent Horn axiom is:

```
sorted(z) \Longrightarrow z = []

|\operatorname{Any} x : z = [x]

|\operatorname{Any} xys : (z = x : (y : s) \& x \le y \& sorted(y : s))
```

The clause resulting from inverted axioms is indeed a theorem with respect to the underlying least/greatest fixpoint semantics of predicates/copredicates.

• Horn axioms for copredicates transforms the co-Horn axioms for the roots of the subtrees selected at last (or the copredicates in the entry field if no subtrees have been selected) into equivalent Horn axioms (see [11, Def. 2.10]). For instance, co-Horn axioms for *unsorted* (see LIST) read as follows:

```
unsorted[] \Longrightarrow False

unsorted[x] \Longrightarrow False

x \le y \Rightarrow (unsorted(x : (y : s)) \Longrightarrow unsorted(y : s))
```

The equivalent Horn axioms are:

```
\begin{split} unsorted(z) &\longleftarrow \text{All } i: unsortedLoop(i,z) \\ unsortedLoop(0,z) \\ unsortedLoop(suc(i),z) \\ &\longleftarrow z \neq [] \\ &\& \text{All } x: z \neq [x] \\ &\& \text{All } xys: (z=x:(y:s)\&x \leq y \Rightarrow unsortedLoop(i,y:s)) \end{split}
```

The old axioms for the copredicates are deleted and the copredicates are turned into predicates.

- show axioms for symbols are enters the axioms for the roots of the subtrees selected at last (or the symbols in the entry field if no subtrees have been selected) into the text field.
- show enters all current axioms into the text field.
- show in text field of Solver 1/2 enters all current axioms of Solver 1/2 into the text field of Solver 1/2.
- load text from opens a submenu of files. The contents of the selected file is entered into the text field.
- add from opens a submenu of files. Signature elements declared in the file are added to the current signature, while formulas are added to the set of current axioms. The formulas must be entered as a disjunction of at most two conjunctions of guarded Horn or co-Horn clauses (see Axioms and theorems). Files containing only signature elements are not allowed here.

### 15 Theorems menu

- remove all removes the set of current theorems.
- remove from entry field removes the n-th clause in the text field from set of current theorems provided that the entry filed contains the number n.
- show enters all current theorems into the text field.
- show in text field of Solver1/2 enters all current theorems of Solver 1/2 into the text field of Solver1/2.
- save to file saves the current theorems to the file in the entry field.
- load text from opens a submenu of files. The contents of the selected file is entered into the text field.
- add from opens a submenu of files. Signature elements declared in the file are added to the current signature, while formulas are added to the set of current theorems. The formulas must be entered as a conjunction of Horn or co-Horn clauses (see Axioms and theorems). Files containing only signature elements are not allowed here.

# 16 Graph menu

- redraw z redraws the displayed tree. This removes junk from the canvas (see Mouse and key events).
- expand dereferences all pointers of the displayed tree or the selected subtrees, respectively. If the entry field contains a positive number n (default: n = 0), each circle in the trees is unfolded n times.
- **expand leaves** dereferences all pointers to leaves of the displayed tree or the selected subtrees, respectively.
- collapse  $\rightarrow$  and collapse  $\leftarrow$  identify all common subtrees of the displayed tree or the selected subtrees, respectively. If there is a number n in the entry field, cycles are unfolded n times. Otherwise cycles are not unfolded.  $collapse \rightarrow$  creates pointers to the right,  $collapse \leftarrow$  produces pointers to the left.
- build equations assumes that the subtree t selected at last is a list of pairs consisting of an integer (a node) and a list of integers (the direct successors) or a list of triples consisting of an integer (a node), a term (an edge label) and a list of integers (the direct successors). build equations transforms t into an equivalent conjunction  $x_1 = t_1 \& \dots \& x_n = t_n$  of regular equations, i.e.  $x_1, \dots, x_n$  are variables and  $t_1, \dots, t_n$  are terms that may contain these variables.
- build graph g assumes that the subtree t selected at last is a collection of pairs consisting of an integer and a list of integers or a collection of triples consisting of an integer, a term and a list of integers or a conjunction of regular equations. build graph transforms t into an equivalent (edge-labelled) graph. Edge labels are turned into node labels so that the graph is actually a bipartite one. The graph is constructed in a depth-first manner starting out from the first element of the list or conjunction. Hence only pairs, triples or regular equations, respectively, that are "reachable" from this element are considered!
- label graph labels the nodes of a graph representing an (edge-)labelled or unlabelled transition system on integer state by the labels stored in the state variable labels (see Simplifications).

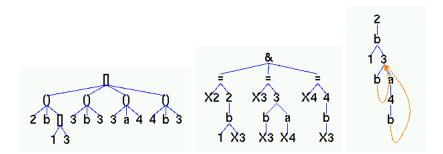


Figure 16.1: A labelled transition system (see TRANS1) as a list of (node,label,successors)-triples, a conjunction of regular equations and a bipartite graph.

- unlabel graph unrestores the nodes of a (node-)labelled graph representing an (edge-)labelled or unlabelled transition system on integer states.
- build relation reverses the application of build graph, i.e. an (edge-labelled) graph is transformed into an equivalent list of pairs consisting of an integer (a node) and a list of integers (the direct successors) or an equivalent list of triples consisting of an integer (a node), a term (an edge label) and a list of integers (the direct successors).
- **greatest lower bound** colors the root of the greatest lower bound of the selected subtrees in green.
- predecessors colors the predecessors of the roots of the selected subtrees in green.
- successors colors the successors of the roots of the selected subtrees in blue.
- variables colors the variables of the selected subtrees in blue.
- free variables colors the free variables of the selected subtrees in blue.
- label roots with entry labels the roots of the selected subtrees with the string in the entry field. The root types (F or V) are preserved. If the replacing string or the replaced string belong to the current signature, both strings must be of the same type. The changed labels are colored in blue.
- **polarities** colors the roots of all subtrees of the displayed tree. A root is colored in green if the subtree has positive polarity. Otherwise it is colored in red.
- **positions** replaces the nodes of the displayed tree by their tree positions. Each pointer position is labelled in red with the position of its target node.
- **numbers** replaces the labels of the nodes of the displayed tree by their positions in the breadthfirst node ordering.
- coordinates shows the coordinates of the node labels of the displayed tree.

## 17 Substitution menu

- add from text field adds to the current substitution the substitution that is given by the conjunction of equations in the text field.
- apply applies the current substitution to the selected subtrees of the displayed tree (or to the entire tree if no subtrees have been selected) and sets the current substitution to the empty one.
- **rename** assumes that the entry field contains a conjunction of equations x = y between variables. All occurrences of x in the selected subtrees are replaced by y.
- remove clears the current substitution.
- show enters the equations that represent the current substitution into the text field.
- show in text field of Solver1/2 enters the equations that represent the current substitution of Solver2/1 into the text field of Solver1/2.
- show on canvas of Solver1/2 displays the equations that represent the current substitution of Solver2/1 on the canvas of Solver1/2. The equations become the current trees of Solver1/2.
- show solutions writes the positions of the solved formulas resp. normal forms among the current trees into the label field.

## 18 Further Buttons

Pictorial term representations consist of widgets. A list of widgets is called a picture. A picture becomes a widget graph if some of its widgets are connected by directed arcs. Widgets comprise circles, paths, polygons, text entries, node-labelled trees, and sequences of turtle actions that admit the hierarchical construction of pictures insofar as the drawing of a picture (without arcs) is also a turtle action. For the complete list of widgets, see Widgets, graphs, and turtle actions. The actual widget interpreter is selected from the pict type menu. Some built-in axiom files (see Examples) and enumerators are automatically associated with a widget interpreter.

- paint opens a *Painter* window that consists of the following widgets:
  - A scrollable canvas.
  - A slider for selecting the graph to be displayed on the canvas.
  - The **size** slider sets the scaling factor of the displayed widgets.
  - The **speed** slider and the **fast** button select the painting speed.
  - The **renew** button calls *paint* from the painter window.
  - The narrow/rewrite button and the simplify button trigger the synonymous actions in the associated solver and display their pictorially representable results on the canvas of the painter.
  - The **combine:** entry field recognizes numbers describing the source and layout of pictures to be copied from the list of current graphs and added to the displayed one. *combine:* is activated by pushing the Up key while the cursor is in the entry field.
  - The **replace by:/save to:** entry field may contain either
    - \* the name of a file containing a picture term or, if the file does not exist (neither in the user's *Examples* directory nor in the synonymous system directory), a picture term that shall be inserted into the displayed graph, or
    - \* the name of a file where the displayed graph shall be saved to, in eps format or as an object of the Haskell type (Nodes, Arcs) for widget graphs (see Widgets, graphs, and turtle actions).

The first action is executed when the Up key is pushed while the cursor is in the entry field. The second action is executed when the Right key is pushed while the cursor is in the entry field.

- The **connect/enclose** button switches to or from a state in which arcs between widgets of the displayed graph may be drawn (if the left or right mouse button is pressed) or widgets

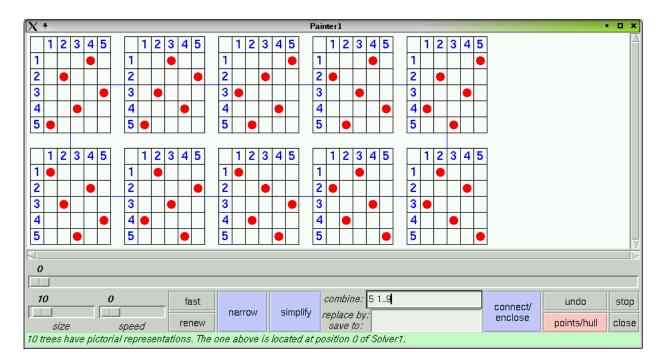


Figure 18.1: The painter window shows a graph consisting of the ten solutions of queens(5, X), obtained from applying axioms of QUEENS. The "5" in the add field denotes that (at most) 5 pictures are listed in one line. "1..9" says that the pictures at (slider) positions 1,...,9 are added to the displayed one (at position 0).

may be grouped for admitting simultaneous modifications.

- The **undo** button revokes the immediately preceding action on the displayed graph.
- The **points/hull** button hides/shows the (arc shaping) point widgets of the displayed graph or draws the convex hull of all displayed widgets, respectively. The widgets of the hull may be numbered counter-clockwise.
- The **stop/go** button interrupts/resumes the painting of the displayed graph.
- The **close** button finishes painting and closes the painter window, but keeps the actual size and speed values. For closing a painter window, use only this button!
- A label field for displaying messages.

A complete description of the features for creating and editing widget graphs is given in the section Widgets, graphs, and turtle actions.

If subtrees have been selected, paint combines the widget interpretations of all pictorially representable selected subtrees and displays the resulting picture on the canvas. With the middle mouse button, the individual widgets, which are usually displayed on top of each other, can be drawn horizontally and vertically away from each other.

If no subtrees have been selected, then for each element t of the list of current trees, paint combines the widget interpretations of all maximal pictorially representable subtrees of t. The resulting picture that corresponds to the tree displayed on the solver canvas is drawn on the painter canvas, while the pictures derived from other elements of the list of current trees are assigned to other

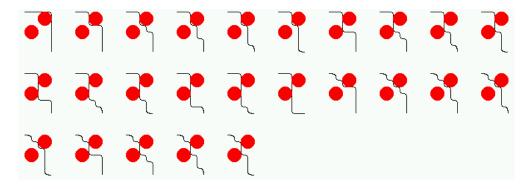


Figure 18.2: Pictorial representations of solutions obtained by applying axioms of ROBOT

positions in the **list of current pictures**. One may browse among the pictures by moving the graph selecting slider (see above).

Expander2 provides several widget interpreters and combinators thereof. The actual one depends on the current axioms, but can also be set by selecting from the *pict type* menu. For instance, Fig. 18.2 shows solutions of the formula

$$\text{Any } PS: (robot([(2,6),(6,2)],PS) \\ \&Acts = [pathS(PS),O,J(2),R,J(6),\\ circ(2,red),B,J(4),R,J(4),circ(2,red),C]),$$

obtained by applying axioms of ROBOT, were generated by the interpreter *polygon solution* that looks for solved formulas and applies the interpreter *polygon* to the solving terms in these formulas. A solved formula looks as follows:

Any 
$$Z_1: x_1 = t_1 \& \dots \&$$
 Any  $Z_k: x_k = t_k$  
$$\& \text{All } Z_{k+1}: x_{k+1} \neq t_{k+1} \& \dots \& \text{All } Z_n: x_n \neq t_n.$$

 $x_1, \ldots, x_n$  are different free variables,  $t_1, \ldots, t_n$  are normal forms and the transitive closure of  $\{(i, j) \mid t_i contains x_i\}$  is acyclic.

• hide/show hides all unhidden selected subtrees by replacing them with the character @ and restores all selected subtrees that are hidden, i.e. had been replaced by @. If the entry field contains a natural number n and no subtrees were selected, then hide/show hides all unhidden subtrees at level n and restores the hidden ones. If the entry field does not contain a natural number and no subtrees were selected, then hide/show restores all hidden subtrees.

Trees with hidden subtrees can also be simplified, narrowed or rewritten. This saves the time for displaying reducts and is particularly useful when simplification, rewriting or narrowing steps are carried out from the painter because a pictorial representation of reducts is preferred to the tree representation.

- +1/-1 increases/decreases the natural number in the entry field. If the entry field does not contain a natural number, then +1 writes  $\theta$  into it, while -1 clears it.
- set selects the subtree whose position in the breadthfirst node ordering agrees with the number in the entry field.
- parse up parses the string in the text field according to the grammar given below, initializes

the list of current trees and the tree mode and displays the first element of the list on the canvas. Trees are coded as objects of the instance Term String of the Haskell type

```
data Term a = V a | F a [Term a] | state variable constructors
```

The first constructor precedes first-order variables, the second one logical and non-logical function symbols and higher-order variables. For the state variable constructors, see Simplifications.

parse up expects a term or formula built up of logical operators, signature symbols and further strings a that are regarded as function symbols. If the term is a sum or the formula is a disjunction or conjunction, the respective summands or factors become the list of current trees. Otherwise this set is a singleton consisting of the entire parsed tree. If the entry field contains an index i of a list of axioms or theorems or a substitution in the text field,  $parse\ up$  parses and displays the i-th element of the list or the i-th equation of the substitution, respectively.

Given natural numbers  $n_1, \ldots, n_k$ , strings of the form  $pos\ n_1 \ldots n_k$  are interpreted as pointers (see above). Only first-order variables and pointers are turned into objects built up with the constructor V. Subtrees whose root starts with the character @ is not printed (see the hide/show button).

- remove text clears the text field.
- remove entry&label clears the entry and the label field.
- parse down computes the textual representation of the displayed tree resp. selected subtrees, connects them with the symbol in the entry field and writes the result into the text field provided that all selected subtrees are either terms or formulas.
- narrow/rewrite performs narrowing/rewriting steps and, between each two steps, at most 100 simplification steps, from top to bottom and from left to right, first to the displayed tree and then to other current trees, until,
  - 1. no subtrees have been selected and the entry field does not contain a positive natural number: at most one narrowing/rewriting step has been performed,
  - 2. if the current trees are terms/formulas, no subtrees have been selected and the entry field contains a positive natural number n: all current trees are non-rewritable/non-narrowable or the number of successive rewriting/narrowing steps exceeds n,
  - 3. if subtrees have been selected and the entry field does not contain a positive natural number: at most one rewriting/narrowing step has been performed on each selected subtree (if the subtree is a term t and the current trees are formulas, then the step is performed on the t enclosing atom),
  - 4. if subtrees have been selected and the entry field contains a positive natural number n: the number of successive rewriting/narrowing steps performed on subtrees of the subtree selected at last has reached n.
- The ← unify/match button switches between the (default) unification mode, the matching mode and the greedy versions of these modes when formulas are narrowed or terms are rewritten.
   The unification mode admits the instantiation of redex variables, the matching mode does not.
   Usually, all applicable axioms are applied in parallel and for each guarded axiom, each solution of

the guard leads to a reduct. In a greedy mode, only the first applicable axiom is applied to a given redex, but, again, if the axiom is guarded, each solution of the guard leads to a reduct.

In case (1), (2) or (4), non-narrowable logical atoms P(t) with normal form t are simplified to False if P is a predicate and by True if P is a copredicate. Analogously, non-narrowable terms f(t) with normal form t are simplified to the undefinedness constant () (see Built-in signature). This transformation may lead to undesired results if some function or (co)predicate occurring in a (simplified) conjecture has not been specified completely or if narrowing/rewriting is used in a matching or greedy mode! For instance, the simplifier turns a negated formula Not(F) into a formula without negation, which may contain unspecified complement predicates. This is one reason why explicit negation should be avoided!

Narrowing upon a predicate or copredicate p generalizes **linear resolution** to the simultaneous application of all axioms for p. Narrowing also generalizes rewriting from terms to formulas and admits the instantiation of redex variables by non-variable terms. These substitutions are supposed to build up solutions of the formula at the beginning of a narrowing sequence. Since each narrowing step applies the definition (axioms) of a predicate, copredicate or defined function, we have also used the term **unfolding** for narrowing steps [15].

Applying all applicable (Horn) axioms for a predicate p or a defined function f simultaneously results in the replacement of the redex by the disjunction of their premises and equations representing the computed unifiers. Applying all applicable (co-Horn) axioms for a copredicate p simultaneously results in the replacement of the redex by the conjunction of their conclusions. Some equational axioms may be only partially unifiable with the redex. These are applied as well, but contribute to the reduct not with their premises resp. conclusions, but with equations representing the partial unifiers. This extension is called **needed narrowing** [1, 14] and ensures that the iteration of narrowing steps proceeding from supertrees to subtrees leads to all solutions of the current trees.

The set of current axioms splits into the set of **eager axioms** and the set of **lazy axioms**. A rewriting or narrowing step consists in the simultaneous application of all axioms for the leading predicate, copredicate or defined function of the maximal subtree to which eager axioms apply or, if no eager axiom applies, lazy axioms apply. Common candidates for lazy axioms are AC equations (see **Built-in signature**) that have several overlapping redices and thus may prevent other axioms from being applied to subredices.

- **simplify** simplification steps, from top to bottom and from left to right, first to the displayed tree and then to other current trees, until,
  - 1. if no subtrees have been selected and the entry field contains a positive natural number n: all current trees are simplified, i.e. no further simplification rule is applicable, or the number of successive simplification steps exceeds n,
  - 2. if no subtrees have been selected and the entry field does not contain a positive natural number: at most one simplification step has been performed,
  - 3. if subtrees have been selected and the entry field does not contain a positive natural number: at most one simplification step has been performed on each selected subtree,
  - 4. if subtrees have been selected and the entry field contains a positive natural number n: the number of successive simplification steps performed on subtrees of the subtree selected at last has reached n.

- clear subtrees deselects all selected subtrees.
- collapse levelwise identifies the common subtrees of the current tree level by level.
- apply to variable: opens a menu of all variables in the domain of the current substitution f. If the button for a variable x is pressed, all occurrences of x in the subtree selected at last are replaced by f(x). If they are bound by an existential/universal quantifier and the respective quantified subformula t has positive/negative polarity, then all occurrences of x in t are replaced by f(x) and x is removed from the quantifier.
- $\leftarrow/\rightarrow$   $\[ \leftarrow \]/$  proceed one step backward/forward in the current proof and display the corresponding list of current trees. As soon as a rule is applied to the list, its previous successors are removed from the proof.
- build/check switches between the (default) proof build mode and the proof check mode. In the latter, you can evaluate a loaded proof term step by step by pushing the → button. Hence the proof term will not be modified in this mode, even if you apply new rules to the current tree, In the proof build mode, however, new rule applications will be added to the proof term. More precisely, the proof term will be kept until the actual position of the proof term pointer and from there extended by the new rule applications.
- increase/decrease current / proceed to the next/previous element of the list of current trees if the Up resp. Down key is pushed after the label field has been activated. If a Painter window is in the foregound, then the next/previous picture is displayed.
- quit quits Solver1/2.

#### 19 Grammar

according to which parse up translates a string in the text field into a term or formula. Boldface-typed symbols are terminal.

```
→ disjunct ==> disjunct | disjunct | disjunct | disjunct <=== disjunct
implication
disjunct
                  \longrightarrow conjunct | conjunct | disjunct
conjunct
                  → enclosedFactor | enclosedFactor & conjunct
enclosedFactor
                  \longrightarrow (implication) | factor
                     True | False | Not enclosedFactor | Any vars : enclosedFactor | All vars :
factor
                       enclosedFactor | infixAtom | prefixAtom | singleTerm^singleTerm moreBag
vars
                  \longrightarrow var | var vars
                                                       noBlanks must derive to a first- or higher-order
                  \longrightarrow noBlanks
var
                                                       variable of the current signature.
infixAtom
                  \longrightarrow term infixPred term
                                                       noBlanks must derive to a first-order variable of
                       noBlanks
                                                       the current signature.
                                                       noBlanks must derive to a predicate, a copred-
prefixAtom
                       | noBlanks moreTerms
                                                       icate or a higher-order variable of the current
moreTerms
                  → (relTerms) moreTerms | enclosedTerms moreTerms | enclosedTerms
enclosedTerms
                  \longrightarrow (terms) | [terms] | {terms} | (infixFun)
relTerms
                  \longrightarrow relTerm | relTerm , relTerms
                       relChars | relChars (relTerms) | prefixAtom | term
relTerm
                                                       relChars must derive to =, =/=, a predicate or a
                                                       copredicate of the current signature.
                                                       infixPred must derive to =, =/=, a predicate or
infixPred
                  \longrightarrow infixChars | infixWord
                                                       a copredicate of the current signature.
term
                  \longrightarrow singleTerm termrest
terms
                   \longrightarrow term | term , terms | term . . terms
                  \longrightarrow bagop term moreBag(bagop) | moreInfix
termrest
moreBag(bagop) \longrightarrow bagop term moreBag(bagop) \mid empty
bagop
                  → ^ | <+> | ++
                                                       Nested bag terms are flattened: t1^{t2} t2^{t3}...
                       list | set | boolTerm | double | int | int curryrest | string | fovar | pos treepos
                       -singleTerm | () | (term) | enclosedTerms | noDelims | noDelims curryrest
                                                       noDelims must not derive to =, =/=, a logical
singleTerm
                                                       symbol, a predicate (except for §), a copredicate
                                                       (except for §) or a first-order variable of the cur-
                                                       rent signature.
curryrest
                  \longrightarrow enclosedTerms curryrest | empty
moreInfix
                  → infixFunL singleTerm moreInfix | infixFunR term | empty
                                                       Functions derived from infixFunL are left-
infixFunL
                  \longrightarrow + \mid -
                                                       associative: (((t1+t2)-t3)+t4)-t5.
                                                       infixFunR must derive to a constructor or de-
                                                       fined function of the current signature, but not
infixFunR
                  \longrightarrow infixChars | infixWord
                                                       to <+> or \land. o is right-associative:
                                                       t1 o (t2 o (t3 o (t4 o t5))).
boolTerm
                  → bool (implication) | cond (disjunct, terms) | lin (conjunct)
list
                  \longrightarrow [] | [terms]
```

```
\longrightarrow {} | {terms}
set
                 → any constant of the Haskell type int
int
double
                 → any constant of the Haskell type double
string
                  \longrightarrow any string
                                                   fovar must derive to a first-order variable of the
fovar
                 \longrightarrow noBlanks
                                                   current signature.
                     any finite list of natural numbers separated by blanks
treepos
                                                   For instance, pos 2 1 3 denotes a pointer to the
                                                   node at position [2,1,3].
infixWord
                  \rightarrow 'any string that does not contain back quotes'
                     any string except \(^\) and \(<+>\) that consists of characters among
infixChars
                      : + - * < = ~ > / ^ #
                     any string that does not contain a character among
noDelims
                      ()[]{}, \ |&: +-*<=~>/^# \t \n
                     any string that neither contains a blank nor an element of the preceding char-
noBlanks
                     acter list
                 any string that neither contains a blank nor a character among
relChars
                      (), \t \n
```

noDelims need not derive to a symbol of the current signature. Any string derived from noDelims is turned into a node with the Term constructor F (see the parse up button). Moreover, noDelims may derive to a string with blanks. This permits the use of symbols consisting of several words separated by blanks.

Integers, reals and (quoted) strings are automatically interpreted as (not always nullary!) constructors. This admits, for instance, the use of natural numbers in the tree representations of nested partitions (see Dissections and partitions).

 $x0, x1, x2, \ldots$  are interpreted as defined functions (see Built-in signature.) An important technical reason for declaring a function symbol as a defined function is the fact that the leading non-equational symbol of each axiom must be a predicate, a copredicate or a defined function.

Newline characters followed by a dot must be avoided because this because such a string is interpreted in a particular way. When a line with more than 80 characters is entered into the text field, it is split into several lines each of which starts with a dot. This ensures that decomposed lines are recognized as single ones when the contents of the text field is parsed.

Line suffixes starting with -- are regarded as comments.

## 20 Axioms and theorems

Axioms and theorem to be applied in derivations are Horn clauses

(1) 
$$\{guard \Rightarrow\}(f(t_1,\ldots,t_n)) = u\{\iff prem\})$$
 or

(2) 
$$\{guard \Rightarrow\}(p(t_1,\ldots,t_n) \mid \{\Leftarrow prem\})$$
 or

(3) 
$$t = u\{ \Leftarrow prem \}$$
 or

$$(4) \ q(t_1,...,t_n) \{ \iff prem \},$$

#### co-Horn clauses

(5) 
$$\{guard \Rightarrow\}(f(t_1,..,t_n)) = u \Longrightarrow conc)$$
 or

(6) 
$$\{guard \Rightarrow\} (q(t_1,..,t_n) \Longrightarrow conc)$$
 or

(7) 
$$t = u \Longrightarrow conc$$
 or

(8) 
$$p(t_1,..,t_n) \Longrightarrow conc$$
,

## distributed Horn clauses

(9) 
$$a_{t_1} \mid \cdots \mid a_{t_n} \quad \{ \Leftarrow prem \}$$
 or

$$(10) \ a_{t_1} \& \dots \& a_{t_n} \quad \{ \longleftarrow prem \}$$

#### or distributed co-Horn clauses

(11) 
$$a_{t_1} \mid \cdots \mid a_{t_n} \Longrightarrow conc$$
 or

(12) 
$$a_{t_1} \& \dots \& a_{t_n} \Longrightarrow conc$$

Curly brackets enclose optional parts. f, p and q denote a defined function, a predicate and a copredicate, respectively, of the current signature. If the current trees are terms, then the reducts must be terms and thus only premise-free clauses of the form (1) can be applied.

Axioms are of type (1), (2) or (6). The step functions (or consequence operators) induced by axioms must be monotone [15, 11]. Usually, f, p resp. q agree with the root of the redex to which a clause is applied. The redex is unified with the head of the clause and the instance of the body (prem resp. conc) by the unifier is the reduct that replaces the redex. In case (3), the redex must be unifiable with the term t. In case (7), the redex must be unifiable with the equation t = u. The co-Horn clause that is created when fixpoint induction is called is of type (7) and applied to a redex t' = u' only if neither t nor t' is a variable.

Defined functions need not be total and not even deterministic! Undefinedness is expressed by the constructor constant (). Multiple values are combined with the sum constructor <+> (see Built-in signature).

For applying a distributed clause, select n atoms  $a'_{t_1}, \ldots, a'_{t_n}$  in a disjunction/conjunction F of the displayed tree such that for all  $1 \leq i \leq n$ ,  $a'_{t_i}$  is unifiable with  $a_{t_i}$ . The summand/factor of F where  $a'_{t_i}$  is selected from must consist of disjunctions, conjunctions and existential/universal quantifiers only (see [16, 10]).  $a'_{t_i}$  is replaced by the corresponding instance of prem/conc. The resulting reducts are combined conjunctively in the case of a Horn clause and disjunctively in the case of a co-Horn clause.

A clause with a guard is applied only if the guard is solvable. The solution becomes part of the unifier that is generated when the clause is applied. For instance, the axiom

$$split(s) = (s_1, s_2) \Rightarrow sort(x : (y : s)) = merge(sort(x : s_1), sort(y : s_2)),$$

for sort (taken from LISTEVAL) is guarded, while the logically equivalent axiom

$$sort(x:(y:s)) = merge(sort(x:s_1), sort(y:s_2)) \iff split(s) = (s_1, s_2)$$

(taken from LIST) is unguarded. On the one hand, guarded axioms are needed for evaluating ground terms efficiently. On the other hand, axioms and theorems used as lemmas in step by step derivations (see below) must be unguarded. Otherwise the search for a solution of the guard may block the derivation process.

## 21 Derivations

A proof with Expander2 is a sequence of successive values of the state variable trees. It is documented and stored in the state variable proof. The values of proof and current trees are initialized whenever parse up parses the contents of the text field and displays the resulting tree t on the canvas. Then trees is set to t, and proof is set to the initial values of its components.

A proof step is correct if the transformed disjunction (or conjunction or sum) of the current trees implies (or is equivalent to) the original one. In the case of possible incorrectness Expander2 delivers the warning

CAUTION: This step may be incorrect.

Such steps are not stored in the current proof term.

If the current trees are formulas, a proof ending up with True or False yields a proof resp. refutation of the conjunction/disjunction of the initial trees. Other final results are given by solved formulas that represent solutions of the original conjecture in their free variables.

If the current trees are terms, only rewriting steps may be applied. If a rewriting step leads to several reducts, the applied axioms specify a non-deterministic function: each reduct is a possible value and will become an element of the *sum* of the current trees.

The correctness of a proof step depends on the **polarity** of the redex with respect to its position within the displayed tree. The polarity is *positive* if the number of preceding negation symbols or premise positions is even. Otherwise the polarity is *negative*. Fixpoint induction, coinduction, summand removal, summand unification, applications of Horn clauses, instantiations of existential variables and term replacements (see replace by other sides) are correct if the redex has positive polarity because here the reduct implies the redex. Atom composition, factor removal, factor unification, applications of co-Horn clauses and instantiations of universal variables are sound if the redex has negative polarity because here the redex implies the reduct. Simplifications, rewriting, narrowing, splitting, flattening and stretching may be applied to any possible redex within the displayed tree because here the redex and the reduct are equivalent. The restrictions guarantee that the transformed displayed tree implies the original one.

The theorem-proving features of Expander2 do not aim at fully automatic proofs. Expander2 favors natural deduction in contrast to many other provers that submit a conjecture to Skolemization and other extensive normalizations before the proof can start. Avoiding this enhances the readability and thus the controllability of the generated proofs significantly. This is particularly necessary when induction or coinduction steps are involved, which are at the heart of any non-trivial program verification. Fortunately, the axioms, the theorems and, to some extent, the conjectures we are faced with in program verification already come as Horn or co-Horn clauses and thus can indeed be handled by Expander2 in their original form.

It also complies with a natural proof process that Expander2 avoids negation symbols. The simplifier drives them innermost until they directly precede (co)predicates and can be removed completely

# 21 Derivations

by transforming the (co)predicates into their complements. Axioms for the complement  $not_P$  of a (co)predicate P can usually be constructed from those for P. If P is a predicate, then  $not_P$  is a copredicate. If P is a copredicate, then  $not_P$  is a predicate. Axioms without negation ensure that the induced  $step\ functions$  (or  $consequence\ operators$ ) are monotone. Hence they have least resp. greatest solutions, which interpret predicates resp. copredicates in the initial model [15, 11].

## 22 Variables

Variables of a clause that are introduced into the current tree when the clause is applied to the tree are renamed by increasing the number suffixes of the variables. Variables that the tree shares with the applied clause are renamed in the same way. Since variable renaming affects the state variable varCounter (see Solver state variables), it is not performed during simplification.

Variables of a Horn or co-Horn clause are turned into existential resp. universal variables. The scope of these variables is the respective reduct.

If a free variable x of a redex is instantiated by a term t during a rewriting or narrowing step, then the equation x = t is added to the reduct.

All integers starting with a backslash are regarded as variables. They are used for the new variables introduced by the formula transformations *create Hoare/subgoal invariant*, *stretch premise/conclusion*, *apply fixpoint induction*, *apply coinduction* and *flatten (co-)Horn clause* (see Subtrees menu) and when a subtree is cut out of the displayed tree (see Mouse and key events).

# 23 Simplifications

Narrowing removes predicates, copredicates and defined functions of the current signature from the current trees. The simplifier does the same with logical operators, constructors and symbols of the built-in signature. Simplifications realize the highest degree of automation and the lowest level of interaction (see Overview). The reducts of rewriting or narrowing steps are simplified automatically. Pushing the simplify button admits step-by-step simplification of the current trees.

The simplifier turns formulas into minimal nested Gentzen clauses of the form

$$\operatorname{All} x_1 \dots x_i : (\operatorname{Any} y_1 \dots y_j : (t_1 \& \dots \& t_m) \Rightarrow \operatorname{All} z_1 \dots z_k : (u_1 \mid \dots \mid u_n)).$$

from the current trees. "Nested" means that the clause is derivable by the following grammar:

$$S \longrightarrow A$$

$$S \longrightarrow B$$

$$A \longrightarrow A_1$$

$$A \longrightarrow C$$

$$B \longrightarrow B_1$$

$$B \longrightarrow C$$

$$A_1 \longrightarrow \operatorname{Any} x_1 \dots x_k : (B\& \dots \& B)$$

$$B_1 \longrightarrow \operatorname{All} x_1 \dots x_k : (A \mid \dots \mid A)$$

$$C \longrightarrow \operatorname{All} x_1 \dots x_k : (A_1 \Rightarrow B_1)$$

$$C \longrightarrow \operatorname{atom}$$

The rules applied repeatedly by the simplifier are equivalence transformations. The main ones are given in [10]. They employ not only logical equivalences, but also the semantics of constructors, equality, inequality and other built-in symbols. For instance, an implication  $prem \Rightarrow conc$  is reduced to True if prem subsumes conc [10], a disjunction is reduced to its minimal summands, a conjunction to its maximal factors. Here are some examples:

Any 
$$xyz : (x = f(y)\&Q(z)) \Rightarrow \text{Any } x'y'z' : (Q(z')\&f(y') = x')$$

reduces to True.

$$\operatorname{Any} x: Q(x) \& Q(\operatorname{suc}(y)) \& \operatorname{All} x: R(x) \& R(y+z) \& \operatorname{Any} x: x = \operatorname{suc}(y) \& \operatorname{suc}(y) = y+z$$

reduces to Q(suc(y)) & All x : R(x) & suc(y) = y + z.

Any 
$$x : (x = f(h(y, z), z) \& P(x, y))$$
  
& All  $x : (x \neq f(h(y, z), z) | P(x, y))$   
& All  $x : (x = f(h(y, z), z) \& P(x, y) \Rightarrow Q(x))$   
& All  $x : (P(x, y) \Rightarrow x \neq f(h(y, z), z) | Q(x))$ .

reduces to P(f(h(y,z),z),y)&Q(f(h(y,z),z)).

$$P(x,y)\&Q(z)\&(P(x,y)\Rightarrow R(x,y,z))$$

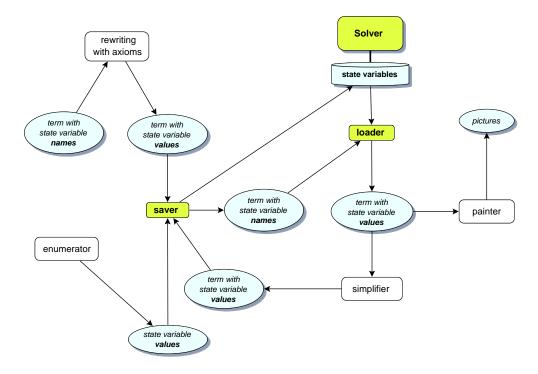


Figure 23.1: How the solver processes terms with state variable names

reduces to P(x,y)&Q(z)&R(x,y,z).

$$P(x,y) \Rightarrow (Q(y) \Rightarrow R(x,y,z)) \mid P(y,z)$$

reduces to  $P(x,y)\&Q(y)\Rightarrow R(x,y,z)\mid P(y,z).$ 

reduces to True.

$$[1, x, 4]$$
'gives'y

reduces to  $y = 1 \mid y = x \mid y = 4$ . While 'in' may only be applied to constructor terms, the arguments of 'gives' may be arbitrary terms. The example shows that an atom of the form ts'gives't where ts and t are constructor terms is simplified to a solved formula and can thus be used in the guard of an axiom.

$$[2,3] + +[5 \mod 2,1] \iff 78 \iff \{\} \land \{9,5,5\} \land \{9,9,5\}$$

reduces to  $[2,3,1,1] \iff 78 \iff \{\} \land \{5,9\} \land \{5,9\}.$ 

$$[1, 2, 3] - 2$$

reduces to [1,3].

$$zipAny(=)[1, x, 3, 4][5, 2, y, 6]$$

reduces to  $x = 2 \mid 3 = y$ .

$$zipAny(=)[1, x, 3, 4][1, 2, y, 6]$$

reduces to True.

$$zipAll(=)[1, x, 3, 4][1, 2, y, 4]$$

reduces to x = 2&3 = y.

Some simplifications depend on solver state variables that hold actual parameters for the function to be simplified. For this purpose, certain terms can be rewritten into *state variable names* the serve as

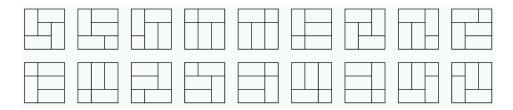


Figure 23.2: All dissections of a 3x3-rectangle that satisfy area(2): each dissection consists of  $\lceil 9/2 \rceil = 5$  subrectangles.

placeholders for the dynamically changing values of state variables. If the simplifier encounters a state variable constant c in the tree to be simplified, the actual value of c is loaded from the solver and, after the simplification step using this value has been performed, the modified value of c is saved by the solver. This allows the simplifier to evaluate Haskell functions directly on Haskell data that otherwise would have to be translated from and into — often complex — terms over the current signature. The above diagram shows that besides simplification also rewriting and widget interpretation have an interface to state variable values: certain axioms produce reducts that involve state variable values; certain state variable values can be translated into pictures. The latter feature allows one to make state changes visible in terms of representations that are not the symbolic ones given by formulas or algebraic terms. Currently, the following state variables are available. Each of them is associated with a constructor of Term a (see the parse up button):

- actions :: Int -> String -> ActLR (constructor Actions) holds a function from states and strings to actions of an LR(1) parser.
- boolFun :: (String  $\rightarrow$  Bool, Int) (constructor Perm) holds a Boolean function and the number n of its Boolean arguments. Perm has two arguments: boolFun and a permutation of [1..n].
- dissects :: [[(Int,Int,Int,Int)]] (constructor Dissect) holds a list of lists of quadruples of integers. Dissect has two arguments: an index and an element of dissects. This state variable is used by the dissection enumerator for storing dissections such that the painter can access them directly instead of interpreting their (large) term representations.
- finals :: Int -> Bool (constructor Finals) holds a Boolean function on states.
- finalsL:: Int -> String -> Bool (constructor FinalsL) holds a Boolean function on states and constructor constants.
- labels :: Int -> [String] (constructor Labels) holds a function from states to sets of constructor constants.
- fixPositions :: [[Int]] (constructor Fix) holds a list of tree positions.
- matrixU :: Int -> Int -> [(Int,Int)] (constructor Matrix) holds a square matrix of lists of pairs of states. Matrix has two arguments: states and matrixU.
- matrixL :: Int -> Int -> [([Int],[Int])] (constructor MatrixL) holds a square matrix of lists of pairs of lists of states. MatrixL has two arguments: states and matrixL. Similary to dissects, matrixU and matrixL allow the painter to access their values directly instead of interpreting their (large) term representations.

- theorems :: [Term a] (constructor Theorems) holds the current set of theorems.
- transitions :: Int -> [Int] (constructor Trans) holds the transition function (mapping a state to its successor states) of an unlabelled transition system. Trans has two arguments: states and transitions.
- transitionsL :: Int -> String -> [Int] (constructor TransL) holds the transition function (mapping a state and a label to their successor states) of labelled transition system. TransL has two arguments: states and transitionsL.

Each of the following Haskell functions evaluated by the simplifier employs some of the above state variables:

- gauss: the Gaussian algorithm for solving linear equations;
- bisim: computation of bisimilar states of a labelled transition system by table filling [7];
- nerode: computation of behaviorally equivalent states of a deterministic Moore or Mealy automaton by table filling [7];
- optimize: optimization of an OBDD by enumerating (in reverse lexicographic order) its variable orderings;
- parse: running an LR(1) parser with goto and action tables;
- permute: enumeration of the variable orderings of a DNF and adaptation of the DNF to the orderings;
- postflow: program verification by the backward propagation of a postcondition through the flowgraph of an iterative program,
- stateflow: global model checking by the backward propagation of state sets through the flow-graph that represents a μ-calculus formula (in contrast, local model checking amounts to proofs by induction and coinduction, see LOCMODAL and LOCTRANS);
- subsflow: program interpretation by the forward propagation of sets of substitutions through the flowgraph of an iterative program.

Here are the details on how these functions are called and executed:

- bisim: Enter an axiom trans = (t, u) for the state set and the transition function of a labelled transition system. t must be a list of integers. u must be a list of triples consisting of a state, a constructor constant denoting a label and the list of respective successor states (see COIN1, COIN2, CYCLE). Enter bisim(trans) and rewrite trans. Deselect selected subtrees. Simplify the entire displayed tree step by step. Since the simplification steps lead to pictorially representable results (here: triangular matrices), they may be executed from the painter window so that the change of results may be viewed directly in terms their pictorial representations.
- gauss: Select the widget interpreter linear equations (see Widgets, graphs, and turtle actions), enter a term of the form lin(t) where t is a conjunction of linear equations and simplify lin(t) step by step.

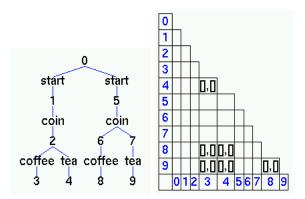


Figure 23.3: A run of *bisim* on the left LTS (see COIN1) results in the state equivalence on the right: only the sink states 3,4,8 and 9 are bisimilar.

	x	у	Z	=
1	10.0	5.0	-2.0	1.0
2	3.0	-8.0	-1.0	9.0
3	1.0	-1.0	5.0	12.0

	x	У	Z	=
1	1.0			0.999994
2		1.0		-0.999999
3			1.0	2.0

Figure 23.4: Two snapshots of a run of gauss on the conjunction (10x)+(5y)-(2z)=1&(3x)-(8y)-z=9&x-y+(5z)=12 of linear equations (see GAUSS1)

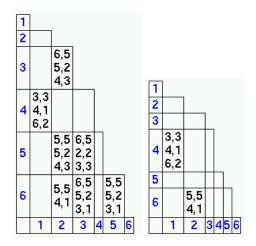


Figure 23.5: Two snapshots of a run of nerode on AUTO1

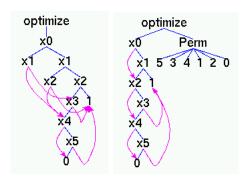


Figure 23.6: Two snapshots of a run of optimize on OBDD6

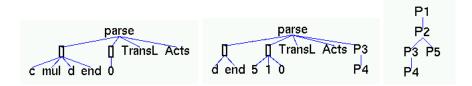


Figure 23.7: Three snapshots of a run of *parse* on TRANSACTS. The three arguments denote the input, the LR stack and the generated syntax tree.

- nerode: Enter axioms trans = (t, u) for the state set and the transition function and finals = v for the output function of a deterministic Moore or Mealy automaton. t must be a list of integers. u must be a list of triples consisting of a state, a constructor constant denoting an input symbol and the respective successor state. v must be a list of states (in the case of a Moore automaton) or pairs consisting of a state and a constructor constant denoting an input symbol (in the case of a Mealy automaton; see AUTO1). Enter nerode1(trans, finals) (in the case of a Moore automaton) or nerode2(trans, finals) (in the case of a Mealy automaton), rewrite trans and finals and proceed as in the case of bisim.
- optimize: Enter a term of the form optimize(t) where t is an OBDD. Simplify the entire displayed tree step by step. t is changed towards an optimal OBDD. The argument of Perm (see above) denotes the actual variable ordering of the OBDD.
- parse: Enter axioms trans = (t, u) for the state set and the goto table and acts = v for the action table of an LR(1) grammar. t must be a list of integers. u must be a list of triples consisting of a state, a constructor constant denoting a grammar symbol and the respective successor state. v must be a list of triples consisting of a state, a constructor constant denoting a terminal symbol and a list of constructor constants denoting a grammar rule (TRANSACTS). Given a list input of terminal grammar symbols (constructor constants), enter parse(input, [0], trans, acts), rewrite trans and acts and proceed as in the case of bisim.
- permute: Enter a term of the form permute(t) where t is a DNF (see above). Simplify the entire displayed tree step by step. permute(t) is changed to permute(t, u, t') where t' is the DNF obtained

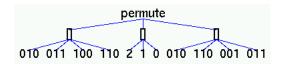


Figure 23.8: A snapshot of a run of permute on DNF1

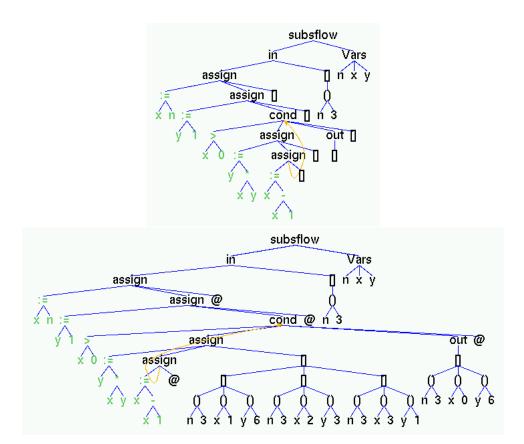


Figure 23.9: Two snapshots of a run of *postflow* on the factorial program: x := n; y := 1; while x > 0 & fact(n)=fact(x)\*y do y := x\*y; x := x-1 od The invariant fact(n)=fact(x)\*y had to be added to the loop condition for ensuring that *postflow* terminates.

from t by rearranging the variables according to the permutation u.

• postflow: Enter axioms  $flow = bool(X_1 = t_1 \& ... \& X_n = t_n)$  for the flowgraph F of an iterative program P and post = bool(t) for a postcondition of P.  $X_1 = t_1 \& ... \& X_n = t_n$  must be a set of regular equations representing F. t must be a formula over the current signature (see FACTPOST). Enter postflow(flow, post), rewrite flow and post and simplify the entire displayed tree step by step. The commands and tests in F are colored in green. In the snapshots depicted above, some intermediate postconditions are hidden behind @. Having starting out from the postcondition post of P (= valuation of the out node of F), postflow will — if it terminates — end up with a formula pre at the in node of F such that pre implies post.

The postcondition valuation (of the nodes) of F changes with each simplification step. The valuation is stable when F cannot be simplified any more. This simplification includes the reduction of intermediate postconditions by applying current theorems in the non-greedy matching mode (see the  $\leftarrow$  unify/match button). Hence postflow depends on the set of current theorems and, conversely, the set can be modified between simplification steps and thus tailored to their respective results. The incorporation of theorem application into simplification is necessary here. Otherwise postflow may not notice that the postcondition valuation of F has become stable and thus would not terminate. The point is that a valuation is stable if it is equal to the preceding one, but, in the case of postconditions, equality means logical equivalence! The application of tailor-made theorems to intermediate postconditions may turn them into "normal forms" so that logical equivalence amounts to syntactic equality. For running FACTPOST, we used the theorems of FACTTHS.



Figure 23.10: Two snapshots of a run of sat on CTLMUTEX1

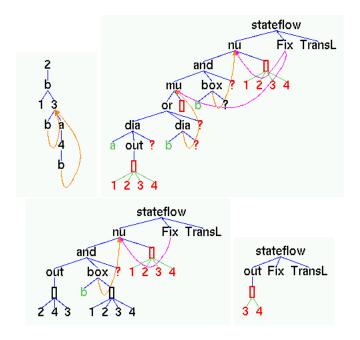


Figure 23.11: Three snapshots of a run of stateflow on the transition system TRANS1 (see upper left corner) and the modal formula  $\nu x.(\mu y.(< a > true \lor < b > y) \land [b]x)$ 

- sat: Enter axioms ctl = v' for a CTL formula, trans = (t, u) for the state set and the transition function of an unlabelled transition system and labels = v for the labelling of states with atomic formulas. t must be a list of integers. u must be a list of pairs consisting of a state and the list of respective successor states. v must be a list of pairs consisting of a state and a list of constructor constants (see CTLMUTEX1 and CTLMUTEX2). Enter sat(ctl, trans, labels), rewrite ctl, trans and labels and simplify the entire displayed tree. The result is a term of the form  $satisfying states(g_1, g_2)$  where  $g_1$  and  $g_2$  are graph representations of the transition function u. If a node of  $g_1$  is labelled with a state s, the corresponding node of  $g_2$  is labelled with the atomic formulas satisfied by s. If the node is colored in green, s satisfies ctl, otherwise s does not satisfy ctl.
- stateflow: Enter axioms  $flow = bool(X_1 = t_1 \& ... \& X_n = t_n)$  for the flowgraph F of a  $\mu$ -calculus formula, trans = (t, u) for the state set and the transition function of a labelled transition system and labels = v for the labelling of leaves of F with atomic formulas.  $X_1 = t_1 \& ... \& X_n = t_n$  must be a set of regular equations representing F. t must be a list of integers. u must be a list of triples consisting of a state, a constructor constant denoting an action and the list of respective successor states. v must be a list of pairs consisting of a state and a list of constructor constants (see TRANS1 and TRANS2). Enter stateflow(flow, trans, labels), rewrite flow, trans and labels and simplify the entire displayed tree step by step. The actions in F are colored in green.

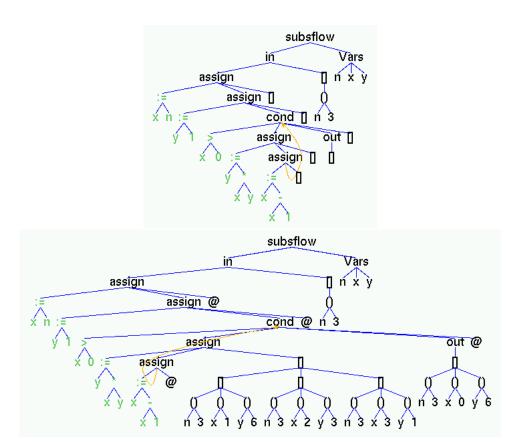


Figure 23.12: Two snapshots of a run of *subsflow* on the factorial program: x := n; y := 1; while x > 0 do y := x\*y; x := x-1 od

The state set valuation (of the nodes) of F changes with each simplification step. The valuation is stable when F cannot be simplified any more. The arcs emanating from Fix point to the (fixpoint) subformulas whose state set value is not yet stable. Once such a formula obtains a stable value, it is replaced by an *out* node carrying the value.

• subsflow: Enter axioms  $flow = bool(X_1 = t_1 \& ... \& X_n = t_n)$  for the flowgraph F of an iterative program P and subs = t for an initial set of substitutions of program variables.  $X_1 = t_1 \& ... \& X_n = t_n$  must be a set of regular equations representing F. t must be a list of pairs consisting of a (program) variable x and a term over the current signature (see FACTSUB). Enter subsflow(flow, subs), rewrite flow and subs and simplify the entire displayed tree step by step. The commands and tests in F are colored in green. In the snapshots depicted above, some intermediate postconditions are hidden behind @. The substitution set valuation (of the nodes) of F changes with each simplification step. The valuation is stable when F cannot be simplified any more. (see [8]).

The following rewriting steps among the above-mentioned ones transform state variable names into state variable values (see Fig. 23.1):

- If t can be simplified to a list of triples consisting of an integer, a constructor constant and a list of constructor constants, then the application of the axiom acts = t to acts results in the reduct Actions f where  $f :: Int \rightarrow String \rightarrow ActLR$  represents t.
- If t can be simplified to a list of integers, then the application of the axiom finals = t to finals results in the reduct Finals f where  $f :: Int \to Bool$  represents t.

- If t can be simplified to a list of pairs consisting of an integer and a constructor constant denoting an input symbol, then the application of the axiom finals = t to finals results in the reduct FinalsLf where  $f :: Int \rightarrow String \rightarrow Bool$  represents t.
- If t can be simplified to a list of pairs consisting of an integer and a list of constructor constants, then the application of the axiom labels = t to labels results in the reduct Labels f where  $f :: Int \rightarrow [String]$  represents t.
- If t can be simplified to a list of integers and u can be simplified to a list of pairs consisting of an integer and a list of integers, then the application of the axiom trans = (t, u) to trans results in the reduct TransLf where  $f :: Int \rightarrow [Int]$  represents t.
- If t can be simplified to a list of integers and u can be simplified to a list of triples consisting of an integer, a constructor constant and a list of integers, then the application of the axiom trans = (t, u) to trans results in the reduct TransLf where  $f :: Int \to String \to [Int]$  represents t.

# 24 Examples

	axioms	theorems	conjectures	derivation or proof term
alignments	ALIGN			
arithmetic and simplifi- cations	NAT WIRTH MOD	NATTHS	NATCONJS EVEN POLY GAUSS1 GAUSS2 GAUSS3 REGS1 REGS2 SET1 SIMPL1 SIMPL2 SIMPL3 SIMPL4 SIMPLTERM WIRTH2 PRIMS	ASSOCPROOF COMMPROOF DIVPROOF EVPROOF EVODPROOF EXPPROOF FIBPROOF POTPROOF WIRTHPROOF proof term proof term
binary trees	BTREE REPMIN		BTREE1 REPMIN1	REPMIN1PROOF
Boolean functions	OBDD SWAP		DNF1 DNF2 DNF3 PARITY QUAD1 QUAD2 QUAD3 OBDD1 OBDD2 OBDD3 OBDD4 OBDD5 OQUAD1 OQUAD2 OQUAD3	
concept formation	FRUIT	FRUITTHS	FRUITCONJS	FRUIT1PROOF FRUIT2PROOF FRUIT3PROOF FRUIT4PROOF
finite lists	LIST LISTEVAL PARTN	LISTTHS	LISTCONJS FILTER MAP SPLIT MERGE SORT FLATTEN ZIP1 ZIP2 ZIP3 ZIP4	PARTPROOF proof term PARTPROOF2 proof term SORTPROOF PERMPROOF MERGEPROOF PARTNPROOF LGOK
imperative programs	FACTPOST FACTSUBS	FACTTHS	POSTFLOW SUBSFLOW PROG1 PROG2 PROG3	

	axioms	theorems	conjectures	derivation or proof term
infinite sequences	STREAM	STREAMTHS	STREAMCONJS	FAIRBLINK proof term BLINKZIP proof term EVENSZIP proof term ITER1ITER2 proof term ITERLOOP proof term ODDSEVENS proof term MAPFACT proof term MAPITER1 proof term MAPLOOP proof term MAPLOOP proof term NATLOOP proof term REVREV proof term proof term
modal formulas	CTLMUTEX1 CTLMUTEX2 TRANS1 TRANS2 LOCTRANS LOCMODAL		CTLSAT STATE- FLOW LOC- MOD1	LOCMOD1PROOF
needed narrowing	NEED		NEED1	NEEDPROOF
parser	TRANSACTS LR		PARSE PARSERUN	LRRUN
permutations and partitions	LOG		PART1 PART2 PART3 PART4 PERM1 PERM2 PERM3	PERM3PROOF
pictures			RTC TURTLE SEXTAGON OCTAGON LEAF PIX SIX STAR STAR2 VIBE	
relational algebra	REL		REL1	
stacks	STACK STACKIMPL STACKIMPL2	STACKTHS	STACKCONJS	TOPEMPTY TOPPUSH POPEMPTY POPPUSH PUSHCOMP PUSHCOMP2 UPDEQ
state equivalence	AUTO1 COIN1 COIN2 CYCLE		NERODE BISIM	

# 24 Examples

	axioms	theorems	conjectures	derivation or proof term
transition systems	ACCOUNT BOTTLE BOTTLEF BOTTLEAC ECHO HANOI KNIGHT MUTEX PHIL PUZZLE QUEENS QUEENSF ROBOT ROBOTF ROBOTACTS ROBOTACTS		ACCOUNT1 BOTTLE1 BOTTLEAC1 ECHO1 ECHO2 HANOI1 KNIGHT1 MUTEX1 PHIL1 PHIL2 PUZZLE1 QUEENS1 QUEENS1 QUEENSF1 ROBOTF1 ROBOTF1 ROBOTACTS1 ROBOTACTSF1	ACCOUNTSOL BOTTLESOLS ECHO2PROOF KNIGHTSOLS MUTEX1PROOF PHIL2PROOF QUEENS4 QUEENS5 ROBOTSOL ROBOTSOLS ROBOTSOLS2 ROBOT2PROOF

# 25 Widgets, graphs, and turtle actions

Built on top of the Tk interface module , the module *Epaint* provides features for creating and editing pictorial term representations. These are displayed in the painter window of a solver when the paint button is pushed. The scroll region of this window is adapted automatically to the displayed picture.

Expander2 provides several widget interpreters and combinators thereof, which recognize paintable terms and transform them into pictorial representations. The actual widget interpreter can be selected from the pict type menu. Some built-in axiom files (see Examples) and enumerators are automatically associated with a widget interpreter: ALIGN and the alignment and the palindrome enumerator with alignment, the dissection enumerator with rectangles, the partition enumerator with partition, QUEENS with matrix solution, BOTTLE, BOTTLEF, ROBOTF and ROBOTACTSF with polygon and ROBOT and ROBOTACTS with polygon solution. The default interpreter is matrix.

The basic elements of pictorial term representations are called **widgets**. A **picture** is a list of widgets. A **widget** graph G is a pair consisting of a list of widgets =  $[w_1, \ldots, w_n]$  and a list  $arcs = [s_1, \ldots, s_n]$  of sublists of  $[1, \ldots, n]$ . k is element of  $s_i$  iff an arc leads from  $w_i$  to  $w_k$ . For editing widget graphs, see Fig. 25.3 and the paint button. A displayed graph is saved to a file F (in eps format or as a Haskell object of type (Nodes, Arcs); see below) by writing F into the save to: field and pushing the  $\longrightarrow$  key while the cursor is in this field.

Widgets are Haskell objects of the following type:

The constructor Pict makes a picture into a **turtle action**. Given terms  $t_1, \ldots, t_n$  that represent turtle actions, the widget interpreter *polygon* translates the list term  $[t_1, \ldots, t_n]$  into a single widget of the form

```
Turtle c x y a [a1, ..., an]
```

(see below). Each widget interpreter is a Haskell function of type

```
Term String -> Maybe Picture.
```

In the sequel, we list, for each interpreter, the terms it recognizes and describe the pictures displayed on the canvas of a painter.

Given a file F that contains a Haskell object G of type (Nodes, Arcs), all widget interpreters translate the term file(F) into the corresponding widget graph denoted by G.

Given a file F and a picture term t, simplifying the term file(F,t) means translating t into a Haskell object of type (Nodes, Arcs), saving it to F and replacing file(F,t) by file(F).

alignment recognizes syntax trees generated by the grammar  $G_1$  or  $G_2$  of section Alignments and palindromes are displayed as horizontal alignments.

linear equations: A term of the form  $p_1 = r_1 \& ... \& p_n = r_n$  where  $p_1, ..., p_n$  are polynomials and  $r_1, ..., r_n$  are real numbers is interpreted as a system of linear equations and displayed as the corresponding matrix of coefficients. The variables occurring in the equations must be part of the current signature (see GAUSS1).

#### matrix:

- Matrix f ss and MatrixL f ss are displayed as triangular matrices (see Simplifications).
- Unlabelled transition graphs are terms generated by the grammar

```
\begin{array}{c} \mathrm{stateTerm} \longrightarrow \mathrm{state}(\mathrm{stateTerm}) \mid \mathrm{position} \ \mathrm{of} \ \mathrm{a} \ \mathrm{state} \ \mathrm{node} \\ \mathrm{state} \quad \longrightarrow \mathrm{integer} \ \mathrm{number} \end{array}
```

They are displayed as the corresponding adjacency matrices.

• Labelled transition graphs are terms generated by the grammar

```
\begin{array}{lll} state Term & \longrightarrow state (label Term) \mid position \ of \ a \ state \ node \\ label Term & \longrightarrow label (state Term) \\ state & \longrightarrow integer \ number \\ label & \longrightarrow constructor \ of \ the \ current \ signature \end{array}
```

They are displayed as the corresponding adjacency matrices.

- A term of the form  $pix((x_1, y_1), \ldots, (x_n, y_n))$  where  $x_1, \ldots, x_n, y_1, \ldots, y_n$  are integers is displayed as a matrix of pixels. Pixels at positions  $(x_1, y_1), \ldots, (x_n, y_n)$  are red. Pixels at other positions are invisible.
- A term of the form  $pix((x_1, y_1, c_1), ..., (x_n, y_n, c_n))$  where  $x_1, ..., x_n, y_1, ..., y_n$  are integers and  $c_1, ..., c_n$  are pixels is displayed as a matrix of pixels. The pixel at position  $(x_i, y_i)$ ,  $1 \le i \le n$ , has color  $c_i$ . Pixels at other positions are invisible.

- A term of the form  $["b_1", \ldots, "b_n"]$  where  $b_1, \ldots, b_n$  are words over  $\{0,1,\#\}$  of the same length is interpreted as a DNF and displayed as the equivalent Karnaugh diagram.
- A collection  $C((x_1, y_1), \ldots, (x_n, y_n))$  (see Built-in signature) where  $x_1, \ldots, x_n$  are integers and  $y_1, \ldots, y_n$  are integers or constructor constants is displayed as the matrix that represents the Boolean function, which maps  $(x_i, y_i)$ ,  $1 \le i \le n$ , to True and other pairs to False.
- A collection  $C((x_1, y_1, t_1), \ldots, (x_n, y_n, t_n))$  where  $x_1, \ldots, x_n$  are integers,  $y_1, \ldots, y_n$  are integers or constructor constants and  $t_1, \ldots, t_n$  are single terms or lists of terms is displayed as a matrix that represents the partial function, which maps  $(x_i, y_i)$ ,  $1 \le i \le n$ , to  $t_i$ . Several elements of  $t_i$  are listed vertically.
- A collection  $C(t_1, \ldots, t_n)$  such that all  $t_i$  are neither collections nor tuples is displayed as a horizontal listing of  $t_1, \ldots, t_n$ . If  $t_i$  is of the form f(t), then f is regard as a column name and written in red. t is displayed below f. If t is a collection  $C(u_1, \ldots, u_k)$ , then the terms  $u_1, \ldots, u_k$  are listed vertically below  $f_i$ . For instance,  $f_1, \ldots, f_n$  may represent places of an algebraic Petri net. Then  $f_1(t_1) \ldots f_n(t_n)$  denotes a marking of  $f_1, \ldots, f_n$  (see Built-in signature). If f(t) is of the form  $f_1(t_1) \ldots f_n(t_n)$  with natural numbers  $f_1(t) \ldots f_n(t)$  and  $f_1(t) \ldots f_n(t)$  by  $f_1(t) \ldots f_n(t)$  is of the form  $f_1(t) \ldots f_n(t)$  with natural numbers  $f_1(t) \ldots f_n(t)$  is of the interpretation of  $f_1(t) \ldots f_n(t)$  by  $f_1(t) \ldots f_n(t)$  is displayed at the respective list position.

matrices recognizes the maximal subtrees of the displayed tree that are interpretable by *matrix* and combines the resulting pictures into a single one.

matrix solution recognizes each solved formula

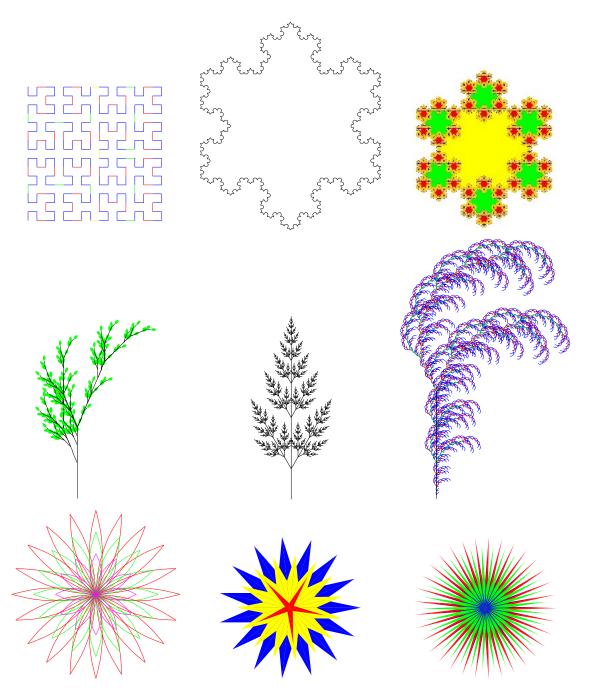
Any 
$$Z_1: x_1 = t_1 \& \dots \& Any Z_k: x_k = t_k \& All Z_{k+1}: x_{k+1} \neq t_{k+1} \& \dots \& All Z_n: x_n \neq t_n$$

and submits the terms  $t_1, \ldots, t_n$  to matrix.

**partition** interprets the displayed tree t as a nested partition (see Dissections and partitions) and draws t as a dissection of a square that consists of colored rectangles each of which represents a leaf of t. Leaves at the same level of t correspond to equally colored rectangles.

#### polygon:

- bush(n) is displayed as a bush fractal with depth n.
- bush(n,c) is displayed as a bush fractal with depth n and outermost branch color c.
- circ(r) is displayed as a circle with radius r.
- circ(r,c) is displayed as a c-colored circle with radius r.
- fern(n, d, r) is displayed as a fern fractal with depth n, apical delay d and internode elongation rate r (see [17], Section 5.3).
- fern(n, d, r, c) is displayed as a fern fractal with depth n, apical delay d, internode elongation rate r and color c.
- qif(f) is displayed as the contents in the file f (must be in gif format).
- gras(n) is displayed as a gras fractal with depth n.



 $\begin{array}{lll} \text{Figure 25.1: Pictorial representations of the terms } hilb(4,red), \; koch(4), \; snow(4,6,yellow), \; gras(4), \\ fern(12,1,1.5), & bush(4), & [leaf(20,magenta,4),leaf(16,green,3),leaf(12,blue,2)], \\ [star(15,8,4,blue),T(12),star(15,6,3,yellow),star(5,4,0.5,red)] & \text{and} \\ [star(33,8,2,red),T(5),star(66,7,2,green),T(5),star(33,3,0.7,blue)] \end{array}$ 

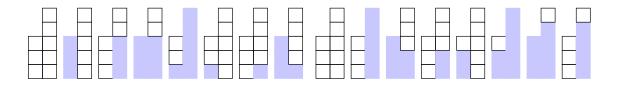


Figure 25.2: The two solutions of bottle(0,0,[],EPS)&PS = piles(reverse(EPS),3,5) obtained from applying axioms of BOTTLE (cf. [12, Section 3.3])

- gras(n,c) is displayed as a gras fractal with depth n, green leaves and trunk color c.
- hilb(n) is displayed as a smooth Hilbert curve of depth n.
- hilb(n, c) is displayed as a Hilbert curve of depth n. The lines that build up the curve are colored differently, starting with color c for the lines at level 0. Colors are ordered. The lines at level  $i \le n$  are drawn in the i-th successor color of c.
- koch(n) is displayed as the outline of a Koch snowflake with depth n.
- koch(n, c) is displayed as the c-colored outline of a Koch snowflake with depth n.
- leaf(n) is displayed as a blossom consisting of n identically shaped outlines of a leaf of length 1.
- leaf(n,c) is displayed as a c-colored blossom consisting of n identically shaped leaves of length 1.
- leaf(n, c, d) is displayed as a c-colored blossom consisting of n identically shaped leaves of length d.
- $path[(x_1, y_1), \dots, (x_n, y_n)]$  is displayed as a path that consists of lines connecting the points  $(x_1, y_1), \dots, (x_n, y_n)$  in the given order.
- $pathS[(x_1, y_1), ..., (x_n, y_n)]$  is displayed as a smooth path that consists of lines connecting the points  $(x_1, y_1), ..., (x_n, y_n)$  in the given order.
- pile(x, h) is displayed as a container of height h that is filled with x units.
- $piles([(x_1, y_1), ..., (x_n, y_n)], h_1, h_2)$  is displayed as n states of two containers c and d of height  $h_1$  and  $h_2$ , respectively. For all  $1 \le i \le n$ ,  $(x_i, y_i)$  represents the state where c is filled with  $x_i$  units and d is filled with  $y_i$  units.
- point(x, y) is displayed as a red point at position (x, y). If two widgets  $w_1$  and  $w_2$  are connected by an arc while the right mouse button is pushed, a point p is introduced between  $w_1$  and  $w_2$  and a smooth path is drawn from  $w_1$  via p to  $w_2$  (see below).
- $poly([a_1, ..., a_n][r_1, ..., r_n])$  is displayed as a polygon with n vertices  $p_1, ..., p_n$  such that for all  $1 \le i \le n$ ,  $p_i$  is the point that is reached after the point  $(r_i, 0)$  has been moved counter-clockwise  $a_1 + \cdots + a_i$  degrees around the center of the polygon.
- $poly([a_1, ..., a_n][r_1, ..., r_n], c)$  is displayed as a c-colored polygon with n vertices  $p_1, ..., p_n$  such that for all  $1 \le i \le n$ ,  $p_i$  is the point that is reached after the point  $(r_i, 0)$  has been moved counter-clockwise  $a_1 + \cdots + a_i$  degrees around the center of the polygon.

- rect(b, h) is displayed as a rectangle with breadth b and height h.
- rect(b, h, c) is displayed as a c-colored rectangle with breadth b and height h.
- snow(n, r) is displayed as a Koch snowflake with depth n and radius r.
- snow(n, r, c) is displayed as a colored Koch snowflake with depth n and radius r. The triangles that build up the snowflake are colored differently, starting with color c for the two outermost triangles. Colors are ordered. The triangles at level  $i \leq n$  are drawn in the i-th successor color of c.
- star(n, r, r') is displayed as a star such that the maximum of r and r' is the peak radius r of the star and the minimum is the valley radius.
- star(n, r, r', c) is displayed as a c-colored star such that the maximum of r and r' is the peak radius r of the star and the minimum is the valley radius.
- text(s) is displayed as the string s.
- text(s,c) is displayed as the c-colored string s.
- text(s) is displayed as the string s.
- text(s,c) is displayed as the c-colored string s.
- textR(s) is displayed as the string s and enclosed in a rectangle with grey outlines.
- textR(s,c) is displayed as the c-colored string s and enclosed in a rectangle with c-colored outlines.
- tree(t) is displayed as the tree that represents t. t should not contain pointers. They will not be translated correctly.
- tria(r) is displayed as an equilateral triangle with outer radius r.
- tria(r,c) is displayed as a c-colored equilateral triangle with outer radius r.
- $[act_1, \ldots, act_n]$  is displayed as the picture that a turtle draws when it starts at point (0,0) with an orientation of 0 degrees and executes the actions  $act_1, \ldots, act_n$  sequentially. The turtle maintains a stack of states of the form (c, x, y, a, n) where c is a color, (x, y) is a position, a is an orientation and p is a number, which indicates whether (x, y) belongs to a path, a polygon or a smooth path. The terms that represent turtle actions read as follows:
  - B: The turtle move backwards, i.e. turns by 180 degrees.
  - C: The turtle pops the stack and returns to the new stack top, say s. Moreover, it repeats its moves from s to the popped state, say s', and draws a c-colored path that connects the visited points. If n=2, it closes the path by drawing a line from s' to s and fills the resulting polygon. If n=3, it does not close, but smoothes the path. The same action takes places before a J-, O-, OP- or OS-action is performed.
  - -J(d): The turtle jumps d units.

- -L: The turtle turns left by 90 degrees.
- -M(d): The turtle moves d units.
- O: Given the stack top s = (c, x, y, a, n), the turtle pushes (black, x, y, a, 1) on top of the stack.
- -O(c): Given the stack top s=(c',x,y,a,n), the turtle pushes (c,x,y,a,1) on top of the stack.
- -OP(c): Given the stack top s=(c',x,y,a,n), the turtle pushes (c,x,y,a,2) on top of the stack.
- -OS(c): Given the stack top s=(c',x,y,a,n), the turtle pushes (c,x,y,a,3) on top of the stack.
- -R: The turtle turn right by 90 degrees.
- -T(a): The turtle turns right by a degrees.
- any term that is recognized by polygon: The turtle draws the resulting picture in its current orientation at its current position, which coincides with the center of each widget the picture consists of. While drawing the picture the turtle may leave this position, but when it is done, it will return to the starting point.

Most number arguments mentioned above are supposed to be integers. Only  $a, a_1, \ldots, a_n, d, r, r'$ ,  $r_1, \ldots, r_n, x, x_1, \ldots, x_n, y, y_1, \ldots, y_n$  may be real numbers.

Terms representing colors must be of the form t or light t where t is of the form RGB r g b with numbers r, g, b between 0 and 255 or t is among black, blue, cyan, green, grey, magenta, orange, red, white, yellow.

**polygons** recognizes the maximal subtrees of the displayed tree that are interpretable by *polygon* and combines the resulting pictures into a single one.

polygon solution recognizes each solved formula

Any 
$$Z_1: x_1 = t_1 \& \dots \& Any Z_k: x_k = t_k \& All Z_{k+1}: x_{k+1} \neq t_{k+1} \& \dots \& All Z_n: x_n \dots t_n$$

and submits the terms  $t_1, \ldots, t_n$  to polygon.

rectangles interprets a term of the form  $[(x_1, y_1, b_1, h_1), \dots, (x_n, y_n, b_n, h_n)]$  as a collection of rectangles  $r_1, \dots, r_n$  such that for all  $1 \le i \le n$ ,  $(x_i, y_i)$  is the top-left corner,  $b_i$  the breadth and  $h_i$  is the height of  $r_i$ .

All currently available graph editing features and their state dependencies are shown in Fig. 25.3.

A displayed graph is always aligned to the top and the left of the painter's canvas. Hence a picture consisting of a single widget cannot be moved!

For drawing an arc from a widget to itself at least one other widget must be passed.

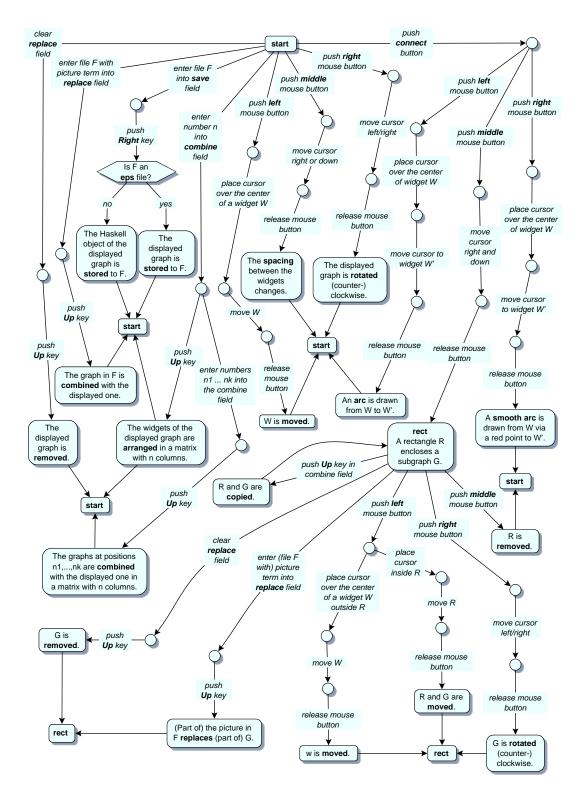


Figure 25.3: Moore automaton for the graph editing actions. State names are written in bold type.

The remaining two sections deal with the alignment, palindrome, dissection and partition enumerators that can be called from the solver's Trees menu. Other enumeration algorithms may be added accordingly.

# 26 Alignments and palindromes

The alignment enumerator and the palindrome enumerator compute alignments between two string sequences [4] or within a single sequence [5], respectively. A development of the Haskell program for the former can be found in [12, Section 2.4].

After two sequences xs and ys of strings separated by blanks have been entered into the text field, the alignment enumerator asks for a constraint. There are three possibilities:

• dna. The alignment enumerator computes all syntax trees for xs#reverse (ys) according to the following grammar  $G_1$ :

```
equal : start \longrightarrow a start a for all strings a compl : start \longrightarrow a start compl(a) for all strings a insert : start \longrightarrow match s for all nonempty sequences s of strings delete : start \longrightarrow s match for all nonempty sequences s of strings \# : start \longrightarrow \# equal : match \longrightarrow a start a for all strings a compl : match \longrightarrow a start compl(a) for all strings a
```

Again, compl is a function on strings that is defined by the actual axioms of ALIGN.

- match. The alignment enumerator computes all syntax trees according to  $G_1$  that contain a maximal number of equal- or compl-nodes and a minimal number of insert- or delete-nodes.
- local. The alignment enumerator computes all syntax trees according to  $G_1$  that contain a maximal local alignment, i.e. a path consisting of equal- or compl-nodes, and a minimal number of insert- or delete-nodes.

Following the assignment of complementary DNA bases, the function compl maps a to t, t to a, c to g, g to c and all other strings to #. Corresponding axioms are loaded when the alignment or palindrome enumerator is called from the solver.

Given the sequences

```
s_1 = actactgct, s_2 = agatag, s_3 = adfaaaaaa, s_4 = aaaaaadfa,
```

the trees in Fig. 26.1 are: (1) the only derivation tree of s1#reverse(s2) that meets the *match*-constraint, (2) the only derivation tree of s3#reverse(s4) satisfying the *match*-constraint and (3) the only derivation tree also of s3#reverse(s4) that meets the *local*-constraint.

After a sequence xs of strings separated by blanks has been entered into the text field, the **palindrome** enumerator computes syntax trees for xs according to the following grammar  $G_2$ :

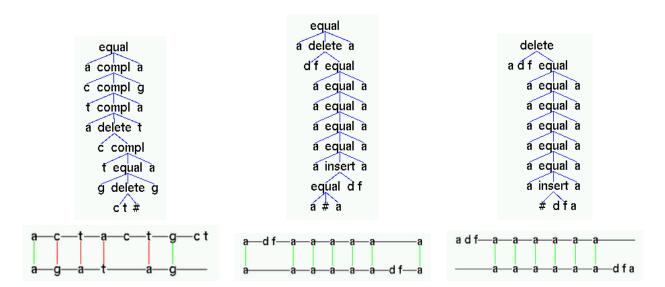


Figure 26.1: Alignment terms and their pictorial representations

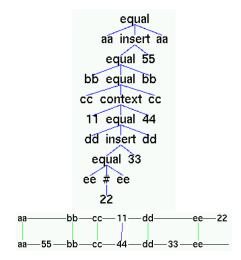


Figure 26.2: A palindrome term and its pictorial representation

## 26 Alignments and palindromes

```
for all strings a
equal
          : start
                      \longrightarrow a start a
compl : start
                     \longrightarrow a start compl(a)
                                                     for all strings a
context: start
                      \longrightarrow s match t
                                                     for all nonempty sequences s,t of strings
insert \quad : \; start \quad \longrightarrow \; match \; s
                                                     for all nonempty sequences s of strings
\text{delete} \quad : \, \text{start} \quad \longrightarrow \, \text{s match}
                                                     for all nonempty sequences s of strings
          : start
                     \longrightarrow s
                                                     for all sequences s of strings
equal : match \longrightarrow a start a
                                                     for all strings a
compl : match \longrightarrow a start compl(a)
                                                     for all strings a
```

compl is a function on strings that is defined by the actual axioms of ALIGN. Only syntax trees with a maximal number of equal- or compl-nodes and a minimal number of context-nodes are returned.

# 27 Dissections and partitions

The **dissection enumerator** computes dissections of a rectangle and represents them directly without a detour via term representations. The underlying algorithm creates and modifies a triple of lists of top, left and inner subrectangles, respectively, such that dissection elements violating certain given constraints are discarded as early as possible (see [13, Section 4]).

Constraints. The dissection enumerator returns dissections of a given rectangle with breadth b and height h that satisfy one of the following atomic constraints or disjunctive or conjunctive combinations thereof:

constraint	holds true for all dissections
area(n)	consisting of $\lceil (b*h)/n \rceil$ subrectangles that cover at most n square units
area(m,n)	consisting of subrectangles that cover at least $m$ and at most $n$ square units
brick	consisting of subrectangles r such that for all $(x, y, b, h), (x, y', b', h')$ in $r, x = 0$ ,
DITCK	$y' \neq y + hy'$ or $y = y' + h'$ (see below)
eqarea(n)	consisting of $n$ subrectangles that cover the same number of square units
factor(p)	consisting of subrectangles such that the breadth $b$ and the height $h$ of each subrect-
	angle satisfy $b = p * h$ or $h = p * b$
hori	consisting of subrectangles whose height does not exceed the breadth
sizes(ns)	consisting of $n$ in $ns$ subrectangles
True	
vert	consisting of subrectangles whose breadth does not exceed the height

Formulas built up of atomic constraints are parsed according to the Grammar. Each constraint is translated into a triple of the Haskell type

$$((Int, Int, Int, Int, Int) \rightarrow Bool, [Int], [(Int, Int, Int, Int, Int)] \rightarrow [(Int, Int, Int, Int, Int, Int)] \rightarrow Bool).$$

The first component is a Boolean function that checks individual rectangles each of which is represented as a quadruple (x, y, b, h) where (x, y) is the top-left corner, b the breadth and h the height of the rectangle. The second component lists the admissable cardinalities of a dissection. The third component is a Boolean function that checks a relation between two parts of a dissection. Such a Boolean function is needed for expressing the brick constraint.

The **partition enumerator** computes nested partitions of a list and represents them as trees whose nodes are labelled with the nesting degrees of the respective subpartitions. Partitions with singleton subpartitions are not constructed.

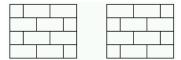


Figure 27.1: All dissections of a 5x4-rectangle that satisfy area(1,2)&brick&hori: each dissection satisfies brick and consists of subrectangles covering 1 or 2 square units and satisfying hori (see below).

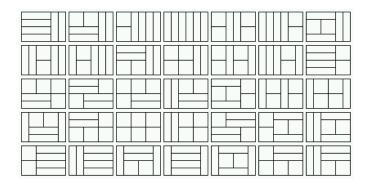


Figure 27.2: All dissections of a 6x4-rectangle that satisfy eqarea(6): each dissection consists of 6 subrectangles that cover the same area.

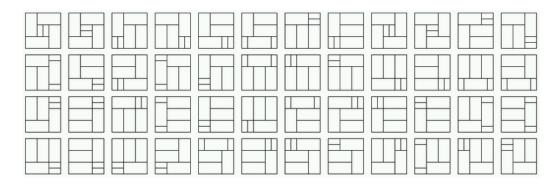


Figure 27.3: All dissections of a 6x6-rectangle that satisfy sizes[6]&factor(2): each dissection consists of 6 subrectangles and the breadth b and the height h of each subrectangle satisfy b=2h or h=2b.

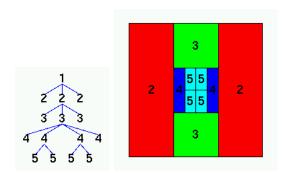


Figure 27.4: A nested partition satisfying eqout&sym of a list with 10 elements and its pictorial representation

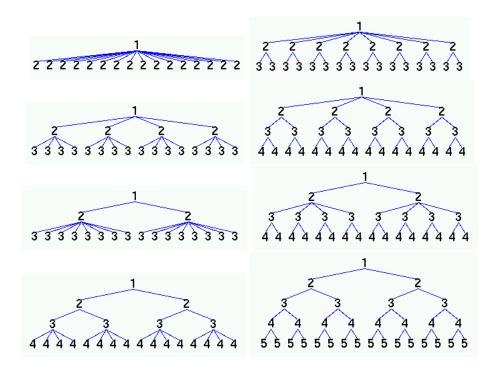


Figure 27.5: The nested partitions satisfying bal&eqout of a list with 16 elements

Let s be a set with n elements and parts(s) be the set of non-nested partitions of s with at least two elements. A Haskell program that computes the cardinality f(n) of parts(s) reads as follows:

For the number h(n) of nested partitions of s we obtain:

```
h 2 = 1

h n \mid n > 2 = sum[product[h (length p) | p <- ps] | ps <- parts s]
```

Hence, without meeting additional constraints, the number of trees representing nested partitions increases combinatorially with the number of leaves:

number of leaves	number of trees
5	45
6	197
7	903
8	4279
9	20793
10	103049

**Constraints**. The partition enumerator returns nested partitions that satisfy one of the following atomic constraints or disjunctive or conjunctive combinations thereof.

constraint	holds true for all trees
alter	whose nodes at even (odd) positions of a list $s$ of all nodes with the same direct
anei	predecessor are leaves (inner nodes) unless $s$ consists of leaves
bal	that are balanced
eqout	whose inner nodes with the same direct predecessor have the same outdegree
hei(n)	whose height is at most $n$
levmin	whose inner nodes at level $n$ have an outdegree of at least $n$
levmax	whose nodes at level $n$ have an outdegree of at most $\max(2, n)$
sym	that are vertically symmetric
$\mathrm{out}(\mathrm{m,n})$	whose inner nodes at level $n > 1$ have an outdegree between $m$ and $n$
True	

Formulas built up of atomic constraints are parsed according to the Grammar. Each constraint is translated into a Boolean function of the Haskell type

$$Int \rightarrow [Term \, Int] \rightarrow Bool$$

that checks the constraint for each subtree st of a tree t in terms of the level of st within t (Int parameter) and the list of maximal proper subtrees of st ([Term Int] parameter).

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